

Trade-related Services - Buying & Selling Securities

Commission:

Transaction Amount (US\$)	Rate on Transaction Amount (Minimum charge US\$30 per transaction)	
	Citigold / Citibanking	Citigold Select
0 - 9,999	1%	0.50%
10,000 - 19,999	0.90%	
20,000 - 49,999	0.80%	
50,000 or above	0.50%	

US SEC Fees: Subject to SEC rules and charges

Account Maintenance - Custodian Services (Hong Kong & US Securities)

Monthly Custodian Service Charge: Waived

SMS Alert Services

Order Level Execution Alert SMS¹: Waived

Trade Level Execution Alert SMS²: US\$0.2 per alert

Market Information Alert SMS: US\$0.2 per alert

Scrip Handling & Settlement - related Services (Scripless only)

Transfer-in:

DTC US\$20 per stock

Transfer-out:

DTC US\$50 per stock

Cancellation of instruction Non-refundable on DTC charges

Nominee Services & Corporate Actions

Corporate Actions with Cash Consideration:

0.50% on cash amount (Minimum charge US\$15)

Rights Issues (Exercise): 0.50% on cash amount (Minimum charge US\$15)

Warrant Conversion: 0.50% on cash amount (Minimum charge US\$15)

(1) Alert SMS message will be received when (i) the order is fully executed or (ii) after the market is closed for the day (if the order is partially executed) or (iii) after the market is closed for the expiry date (if the order is unfilled). (2) Alert SMS message will be received for each trade execution (including partial order execution). Multiple alert SMS messages may be received for an order with more than one trade executions.

Note: There are certain charges for specific services that are not included here. Details are available upon request.

Investment shall not be regarded as a substitute for time deposit.

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有關買賣服務 — 證券買賣

經紀佣金:

	網上 / 流動理財 證券服務	證券買賣熱線	每單交易最低收費
Citigold Select	0.18%	0.18%	網上/流動理財 證券服務: HK\$100 證券買賣熱線: HK\$125
Citigold	0.20%	0.25%	
Citibanking	0.25%	0.40%	

政府印花稅¹: 每單成交金額之0.10%

交易徵費²: 每單成交金額之0.004%

交易費³: 每單成交金額之0.005%

存入證券費⁴: 豁免

(不足HK\$1之數亦作HK\$1計算)

(不足HK\$0.01之數亦作HK\$0.01計算)

戶口服務 — 證券託管 (香港及美國證券)

證券託管月費: 豁免

有關證券交收服務

存入/提取證券 (實物及非實物證券): 每項指示收費HK\$300

證券登記⁵ (適用於存入證券): 每手HK\$3

存入證券費⁵ (適用於存入證券): 豁免

即時報價服務

「即時報價」⁶: 每月首200個免費, 其後每一報價收費HK\$0.1

「串流報價服務」⁷: 月費HK\$398

短訊提示服務

交易指示手機短訊提示⁸: 豁免

每次成交手機短訊提示⁹: 每次提示HK\$1

市場資訊手機短訊提示: 每次提示HK\$1

代理人及代履行權責服務

代客處理現金收購及其他涉及現金的履行權責事項¹⁰:

按現金總值收取0.50% (最低收費HK\$30)

備兌認股證手續費: 每次收費HK\$205

代履行權責服務費¹⁰: 每手HK\$2⁵ (最低收費HK\$30)

其他服務

網上認購新證券服務: 每項申請HK\$100 (只限香港證券)

美國證券交易試驗計劃服務:

- **股份提取費:** 每項指示收費HK\$600

- **證券的跨境調撥費:** 每項收費或交付指示收費HK\$200

- **美國證券交易試驗計劃代履行權責服務費¹⁰:** 每項指示收費HK\$600

(1) 有關收費由香港特區政府收取。如有更改, 本行恕不另行通知。(2) 有關收費由證券及期貨事務監察委員會(證監會)收取。如有更改, 本行恕不另行通知。(3) 有關收費由香港交易及結算有限公司(香港交易所)收取。如有更改, 本行恕不另行通知。(4) 適用於散股及買入證券、供股及認股證等。(5) 亦適用於散股。(6) 適用於即時交易、即時報價及自選報價清單版面的即時股價資訊服務及於「到價提示」版面內所設定並成功觸發的提示(包括: 股票價格、52週最高或最低、股票技術指標、股份交易量、指數)。(7) 適用於「股票瞬像」版面內之串流報價服務(資料會自動更新)。(8) 手機短訊提示將於(i)交易指示全數執行或(ii)交易日收市後(如指示屬部份成交)或(iii)指示到期日收市後(如指示未能執行)收到。(9) 手機短訊提示將於每次成交(包括分段成交)後收到。若交易指示分段成交將會收到一個以上的手機短訊提示。(10) 履行權責包括認股證兌換、認股權、現金股息、現金派息及紅股選擇、自願收購、投標出價或公開發售。

附註: 就其他未列於本表格之服務及收費, 請向本行查詢。

Service Fees and Charges

服務收費

Trade-related Services - Buying & Selling Securities

Brokerage Fee:

	Internet / Mobile Securities Services	Dedicated Trading Hotline	Minimum Charge per Transaction
Citigold Select	0.18%	0.18%	Internet / Mobile Securities Services: HK\$100
Citigold	0.20%	0.25%	Dedicated Trading Hotline: HK\$125
Citibanking	0.25%	0.40%	

Stamp Duty¹: 0.10% of transaction amount (Charges are rounded up to the nearest dollar)

Transaction Levy²: 0.004% of transaction amount (Charges are rounded up to the nearest cent)

Trading Fee³: 0.005% of transaction amount

Deposit of Securities⁴: Waived

Account Maintenance - Custodian Services (Hong Kong & US Securities)

Monthly Custodian Service Charge: Waived

Scrip Handling & Settlement Related Services

Transfer-in / out Service Charge (Scrip & Scripless): HK\$300 per instruction

Registration Handling Fee⁵ (Applicable to transfer-in of securities): HK\$3 per board lot

Deposit of Securities⁵: Waived

Real-time Snapshot Quick Quote Services

"Quick Quote"⁶: Free for the first 200 quotes every month, HK\$0.1 per quote thereafter

"Streaming Quote"⁷: HK\$398/month

SMS Alert Services

Order Level Execution Alert SMS⁸: Waived

Trade Level Execution Alert SMS⁹: HK\$1 per alert

Market Information Alert SMS: HK\$1 per alert

Nominee Services & Corporate Actions

Cash Offers & Other Corporate Actions¹⁰ with Cash Consideration:

0.50% of cash consideration (Minimum charge HK\$30)

Covered Warrants Registration Fee: HK\$205 per transaction

Corporate Actions¹⁰ **Service Fee**: HK\$2 per board lot⁵ (Minimum charge HK\$30)

Other Services

Online IPO Application Service: HK\$100 per application (Hong Kong Securities only)

Pilot Program for Trading US Securities:

- **Stock Withdrawal Fee**: HK\$600 per instruction
- **Cross-border Transfer Fee for Receipt / Delivery of Securities**: HK\$200 per receipt / delivery instruction
- **Handling Fee for Corporate Actions**¹⁰ of Securities under the Pilot Program: HK\$600 per instruction

(1) The charge is collected for the HKSAR government and is subject to change without prior notice. (2) The charge is collected for the Securities and Futures Commission (SFC) and is subject to change without prior notice. (3) The charge is collected for the Hong Kong Exchanges and Clearing Limited (HKEx) and is subject to change without prior notice. (4) Applicable to odd lots and buying of securities, rights issues or warrants. (5) Also applicable to odd lots. (6) Applicable to the real-time snapshot quick quote enquiries performed via Trade Now, Stock Quote and Watchlist sections and the successfully triggered alerts (including Stock Price, 52-week High or Low, Technical Indicators, Stock Turnover, Index) which are set under the "Alert" section. (7) Applicable to the "Streaming Quote Service" under the "Stock Snapshot" section (Price information with automatic update). (8) Alert SMS message will be received when (i) the order is fully executed or (ii) after the market is closed for the day (if the order is partially executed or (iii) after the market is closed for the expiry date (if the order is unfilled). (9) Alert SMS message will be received for each trade execution (including partial order execution). Multiple alert SMS messages may be received for an order with more than one trade executions. (10) Corporate actions include warrant conversions, rights, cash dividends, cash stock option, voluntary takeovers, tender offers, or open offers. Note: There are certain charges for specific services which are not included here. Details are available upon request.

有關買賣服務 — 證券買賣

證券買賣佣金：

交易金額 (以美元計)	每項成交金額收費 (根據交易金額計算，最低收費US\$30)	
	Citigold / Citibanking	Citigold Select
0 - 9,999	1%	0.50%
10,000 - 19,999	0.90%	
20,000 - 49,999	0.80%	
50,000或以上	0.50%	

美國證交會收費：將根據美國證交會最新擬定及不時更改之條例及收費

戶口服務 — 證券託管 (香港及美國證券)

證券託管月費：豁免

短訊提示服務

交易指示手機短訊提示¹：豁免

每次成交手機短訊提示²：每次提示US\$0.2

市場資訊手機短訊提示³：每次提示US\$0.2

有關證券交收服務 (只適用於非實物證券)

存入證券：

註冊服務公司收費 每隻股票US\$20

提取證券：

註冊服務公司收費 每隻股票US\$50

取消指令 註冊服務公司收費將不獲退還

代理人及代履行權責服務

現金的履行權責事項：按現金總值收取0.50% (最低收費US\$15)

行使供股權：按現金總值收取0.50% (最低收費US\$15)

行使認股證：按現金總值收取0.50% (最低收費US\$15)

(1) 手機短訊提示將於(i)交易指示全數執行或(ii)交易日收市後(如指示屬部份成交)或(iii)指示到期日收市後(如指示未能執行)收到。(2) 手機短訊提示將於每次成交(包括分段成交)後收到。若交易指示分段成交您將會收到一個以上的手機短訊提示。

附註：就其他未列於本表格之服務及收費，請向本行查詢。

投資不應被視為定期存款之替代品。

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