



To: Citibank (Hong Kong) Limited and/or Citibank, N.A., Hong Kong Branch (“Bank”)
致: 花旗银行 (香港) 有限公司 / 花旗银行香港分行

Customer Name 客户姓名:	
Account Number (s) 户口号码:	

Client Information Update and Declaration from Chinese Mainland Investors
中国内地投资客户资料更新及声明

Section 1 第一部分: Client Information Update 客户资料更新 (KYC)

To ensure the accuracy of the Bank's records, please provide the information below to enable us to update the Bank's records accordingly.
为确保本行的纪录之准确性, 请您填写以下资料, 以便我们更新本行的纪录。

The Bank reserves the right to request additional supporting documents and/or information in connection with any of the updates, as required by any applicable laws, regulations, and/or the Bank's internal policies.
本行保留权利, 就部分资料更新, 根据适用法律、法规或本行内部政策之要求, 要求提供额外的支持文件或资料。

Please state your current occupation or job title. 请填写您目前的职业或职衔。		
EMPLOYMENT STATUS 就业状况 (Tick one 请选一项) (Required 必填)		
☐ Employed 受雇	☐ Self-Employed 自雇	☐ Retired 退休
☐ Student 学生	☐ Homemaker 家庭主妇 / 主夫	☐ Unemployed 待业
EMPLOYMENT INFORMATION 就业资料 (Required 必填) (Please complete in English 请以英文填写)		
Occupation 职业	Industry 行业	Company Name 公司名称

SOURCE OF WEALTH 财富来源 (Required 必填)	
Please tick (✓) ALL sources that apply to describe how you accumulated your overall wealth. 请选取 (✓) 所有适用的选项, 说明您如何积累整体财富。	
☐ Employment 受雇	☐ Self-Employment 自雇
☐ Business Ownership 拥有个人业务	☐ Loans / Grant / Scholarship 贷款 / 奖学金
☐ Spouse / Parent / Partner 配偶 / 父母 / 伙伴资助	☐ Inheritance 遗产
☐ Trust Fund 信托基金	☐ Investment 投资
☐ Personal Real Estate 个人物业	☐ Government Support 政府资助

Country / Region of Residence 居住国家 / 地区 (Required 必填)		
Please provide the country / region where your home address is currently located. 请提供您目前住宅地址所在的国家 / 地区。		
COMMON OPTIONS 常见选项 (Tick one 请选一项)		
☐ Hong Kong SAR 香港特别行政区	☐ China (Mainland) 中国 (内地)	☐ Taiwan 台湾
☐ Singapore 新加坡	☐ United States 美国	☐ United Kingdom 英国
☐ Other 其他 (please specify 请填写): _____		

Citizenship / Nationality* 公民身份 / 国籍* (Required 必填)

Please list ALL countries / regions for which you hold citizenship / nationality (including dual or multiple nationalities).
请列出所有持有公民身份 / 国籍的国家 / 地区 (包括双重或多重国籍)。

COMMON OPTIONS 常见选项 (Tick all that apply 请选所有适用项目)

- | | | |
|---|---|--|
| ☐ Chinese (HKSAR) 中国 (香港特别行政区) | ☐ Chinese (Mainland) 中国 (内地) | ☐ Taiwan 台湾 |
| ☐ Singapore 新加坡 | ☐ United States 美国 | ☐ United Kingdom 英国 |
| ☐ Australia 澳洲 | ☐ Canada 加拿大 | |
| ☐ Other 其他 (please specify 请填写): _____ | | |

* Any citizenship / nationality declared herein will be added to the Bank's records where not previously registered.
任何于本表格申报之公民身份 / 国籍, 如未曾于本行记录中登记, 将予以新增至本行记录。

* Any citizenship / nationality(ies) previously recorded in the Bank's records will not be removed, altered, or overwritten by virtue of the submission of this form.
任何已于本行记录中登记之公民身份 / 国籍, 不会因提交本表格而被删除、更改或覆盖。

Section 2 第二部分: Customer Declaration 客户声明

In connection with my investment account(s) with Citibank (Hong Kong) Limited and/or Citibank, N.A., Hong Kong Branch (each, and collectively, "Citibank"), I, the undersigned, being a Chinese Mainland investor holding a resident identity card and/or a passport issued by the People's Republic of China (each, a "PRC Identification Document"), hereby declare, confirm and undertake as follows:
就本人在花旗银行 (香港) 有限公司 / 花旗银行香港分行 (「花旗银行」) 的投资账户, 本人 (下方签署人), 作为持有中华人民共和国居民身份证及/或护照 (「中国居民身份证」) 的内地投资者, 作出以下书面声明。

I confirm that all funds which support my investment activities and related settlements conducted through Citibank are from lawful sources outside of the Chinese Mainland.
本人确认, 所有用以支持本人透过花旗银行进行的投资活动及相关结算的资金, 均来自中国内地以外的合法来源。

I confirm that I do not have any account that was previously closed or suspended by any Licensed Corporations or Registered Institutions (e.g. Banks) due to the use of questionable or forged documents.
本人确认, 本人并没有因曾使用可疑或伪造文件而令账户遭到任何持牌法团或注册机构 (例如银行) 关闭或暂停。

I acknowledge and understand the serious consequences of submitting forged documents, which include account termination, reporting to law enforcement agencies, and possible criminal prosecution.
本人知悉并理解提交伪造文件的严重后果, 包括终止账户、向执法机关举报, 以及可能面临刑事检控。

I undertake to notify Citibank in writing within seven (7) business days in the event of any changes in the information provided in this written declaration.
本人承诺, 如本书面声明中的数据出现任何更改, 本人将在七个营业日内以书面形式通知花旗银行。

I acknowledge and understand that Citibank may disclose my personal and other relevant information to law enforcement agencies or regulatory authorities upon requests.
本人确认及明白花旗银行可能会按执法机构或监管机构的要求, 披露本人的个人及其他相关资料。

I confirm that the above statements are true, complete, accurate and not misleading in any respect.
本人确认上述声明在任何方面均属真实、完整、准确且并无误导成份。

By signing below, I confirm that I have read, understood, and agreed to all declarations and undertakings set out in this document.
本人在下方签署, 确认已阅读、理解并同意本文件所载的所有声明及承诺。

All information provided is subject to Citibank's Privacy Policy and applicable regulations.
所有提供的资料均受花旗银行私隐政策及适用法规约束。

X

Signature 签署

Signature is not required if the completed form is uploaded via Citi Mobile® App
如透过Citi Mobile® App上传已填妥的表格, 则毋须签署

Date 日期:

How to Reply 如何回复

Return your completed form via the methods below 请将已填妥的表格经由以下途径提交:

Method 1 方法一: Citi Mobile® App Upload 透过Citi Mobile® App上传

- Log in to Citi Mobile App® 登入Citi Mobile® App
- Navigate to the relevant section and upload your completed form 前往相关页面, 并上载已填妥的表格

Method 2 方法二: In-Person Submission 亲临分行提交

- Visit any Citi Branch in Hong Kong (HK) 前往香港任何一间花旗银行分行
- Submit your completed form directly to a branch representative 将已填妥的表格直接交予分行职员