

# Stock Trading Platform – Quick User Guide

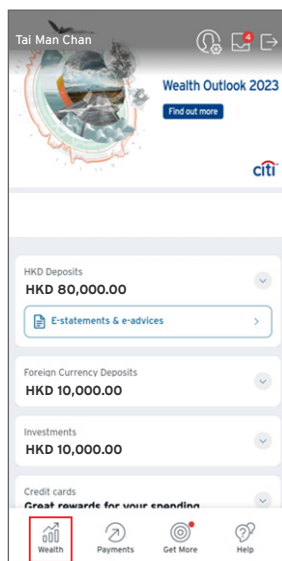
## Contents

Item	Page
A. Apply for Securities Services	1
B. Trade Stock	5
C. Check Trade Status & Modify an Order	10
D. Check My Transaction History	15
E. Check My Stock Holdings	17
F. Create & View My Watchlist	20
G. IPO	25
H. Corporate Actions	28
I. Regular Savings Plan	30
J. Transfer in Stock	33
K. Stock Alerts	35
L. Complete and Submit US Tax Form (Form W-8BEN) with Citi Mobile® App	37

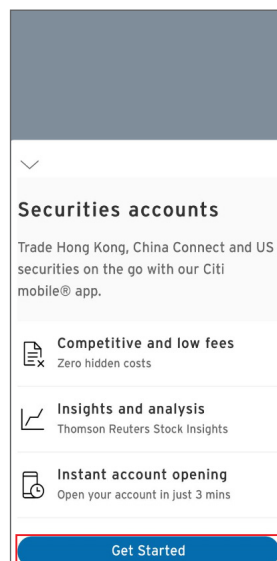
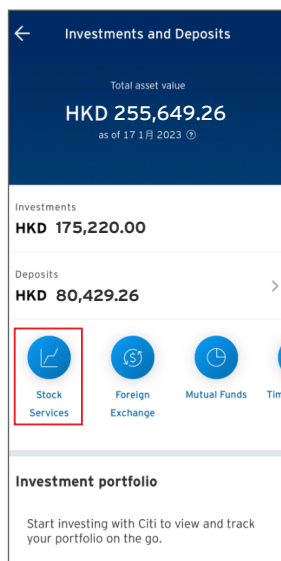
# Apply for Securities Services

Via Citi Mobile® App

- 1 Login to Citi Mobile® App, tap **"Wealth"**



- 2 Select **"Stock Services"** and tap **"Get Started"** to begin your stock account opening application



- 3 Fill in the **details** and provide **consents**


**Quick questions, before we begin ...**

Are you a citizen/resident of the USA for tax purposes?

☐ Yes ☒ No

Do you have any securities knowledge and prepared to accept the risks and potential losses in securities investment to achieve a potential return?

☒ Yes ☐ No



**Great, let's proceed with opening your account**

Pick the securities market that you are interested in

☒ HK Securities ☐ China Connect - SH/SZ Securities ☐ US securities

**SECURITIES SETTLEMENT ACCOUNTS**

For Securities settled in HKD  
**HKD Statement Savings \*\*\*\*1234**  
Account Balance HKD 80,429.26

A CNY settlement account will be opened to enable trading in CNY on the stock exchange.

**Employment confirmation** ⓘ

Are you currently employed by an organization registered with the Hong Kong Monetary Authority or licensed by the Securities and Futures Commission to carry on regulated activities as defined in the



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# Apply for Securities Services

Via Citi Mobile® App

- 4 Complete the **questionnaire** to indicate your **investment knowledge and experience**

Do you have knowledge and understanding of these derivative products?

ETFs Structured products Neither

How did you obtain your knowledge and understanding on derivative products?

Knowledge on derivative products

How much do you make annually?

Below HK\$300,000

Below HK\$300,000 Above HK\$1,000,000

By clicking on "Submit", an account will be set up for you. Do note that the account set up would take about a minute or so.

Submit

- 5 Your stock account opening application has been **submitted** successfully. Please provide the required documents if needed

✓ **Your account is ready**

Your Hong Kong, China Connect and US Securities account opening application has been submitted. Please upload the W-BEN Form and other necessary documents for your application to be completed.

Upload your employer consent via Citibank HK website "Citi Online Document Upload Form" page in order to complete your application. Otherwise, the application will expire in 30 days.

Download application form PDF

Submit W8-BEN form

Click here

Back



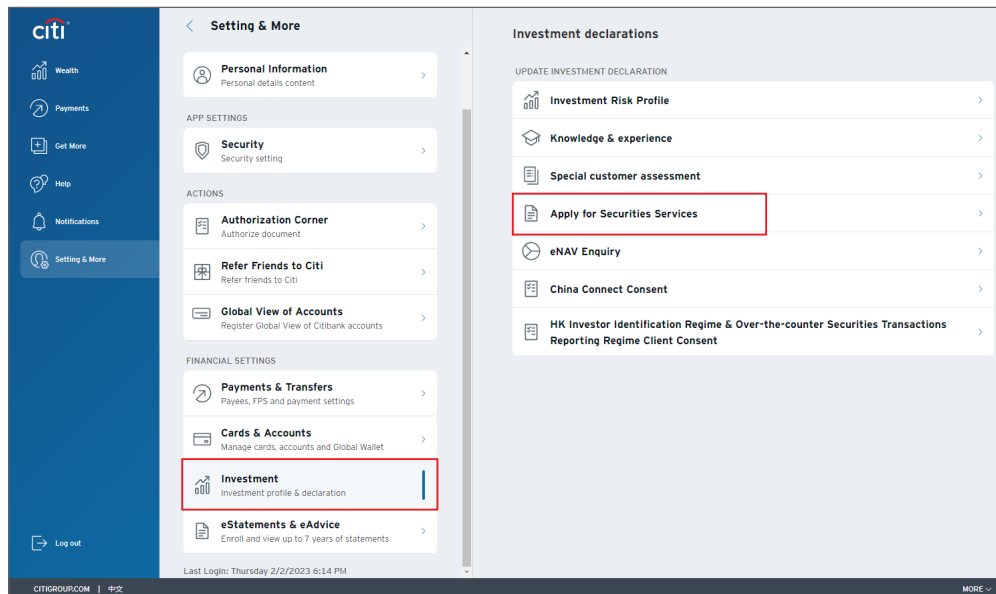
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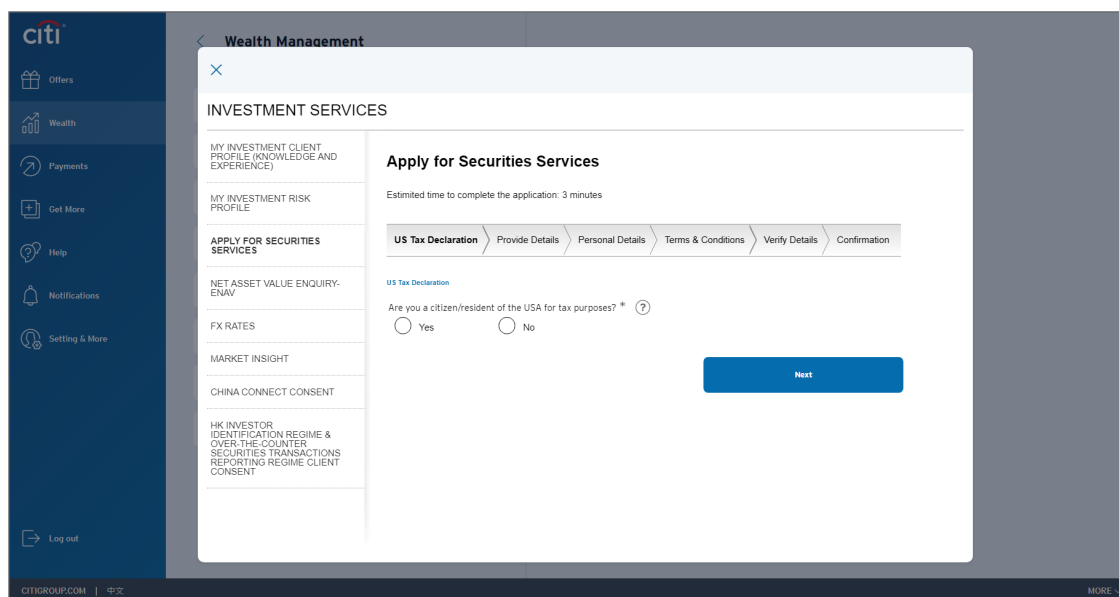
# Apply for Securities Services

Via Citibank Online

- 1 Click "Investment" under "Setting & More", then click "Apply for Security Services"



- 2 Follow the instructions to fill in details



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# Apply for Securities Services

Via Citibank Online

- 3 After reading the Terms & Conditions, click **"View Complete Form"**.

The screenshot shows the Citibank online interface. On the left is a dark blue sidebar with navigation icons for Offers, Wealth, Payments, Get More, Help, Notifications, and Setting & More. The main content area is titled 'INVESTMENT SERVICES' and contains a list of links: MY INVESTMENT CLIENT PROFILE (KNOWLEDGE AND EXPERIENCE), MY INVESTMENT RISK PROFILE, APPLY FOR SECURITIES SERVICES, NET ASSET VALUE ENQUIRY-ENAV, FX RATES, MARKET INSIGHT, CHINA CONNECT CONSENT, and HK INVESTOR IDENTIFICATION REGIME & OVER-THE-COUNTER SECURITIES TRANSACTIONS REPORTING REGIME CLIENT CONSENT. The 'APPLY FOR SECURITIES SERVICES' link is selected. The form itself is titled 'Apply for Securities Services' and has an estimated completion time of 3 minutes. It features a progress bar with steps: US Tax Declaration, Provide Details, Personal Details, Terms & Conditions, Verify Details, and Confirmation. The 'Verify Details' step is currently active. Below the progress bar, there is a section for 'COMMON REPORTING STANDARD (CRS) SELF-CERTIFICATION FORM FOR INDIVIDUALS'. Under 'Your Identification Details', there are four numbered statements for the user to agree to. At the bottom, there is a checkbox labeled 'I have read and agreed to the declaration and acknowledgement.' which is currently unchecked. To the left of this checkbox is a 'Back' button, and to the right is a 'View Complete Form' button, which is highlighted with a red rectangle.

- 4 After verifying all details, click **"Submit Reviewed Form"**.

This screenshot shows the same 'Apply for Securities Services' form as in the previous step, but now the 'Submit Reviewed Form' button is highlighted with a red rectangle. The checkbox 'I have read and agreed to the declaration and acknowledgement.' is now checked. The 'Back' button remains to the left of the checkbox. The rest of the form content, including the progress bar and the list of statements, remains the same.

- 5 Your stock account opening application has been submitted successfully!



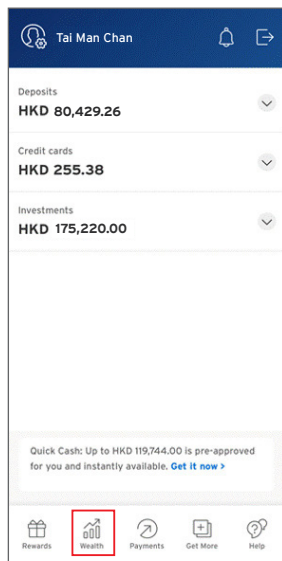
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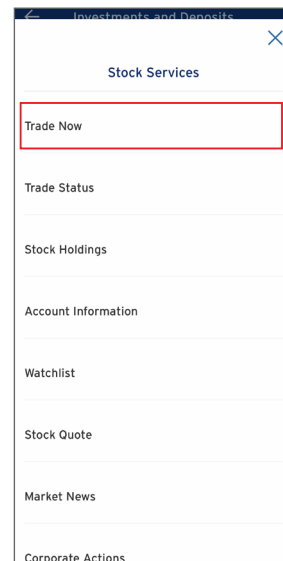
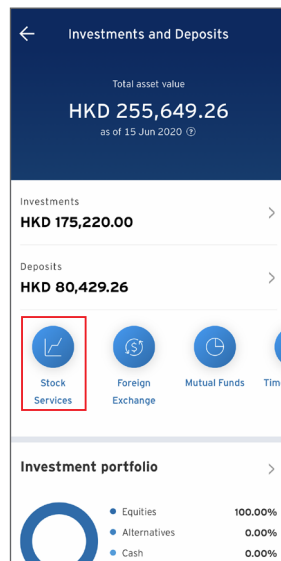
# Trade Stock

Via Citi Mobile® App

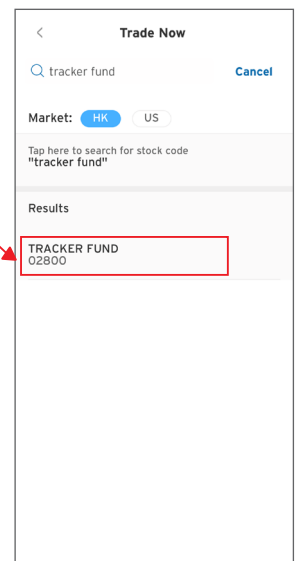
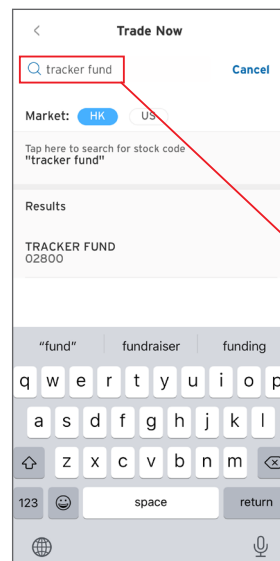
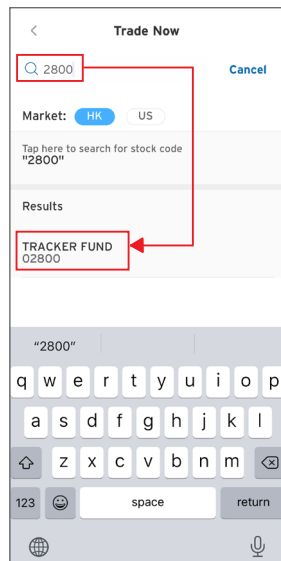
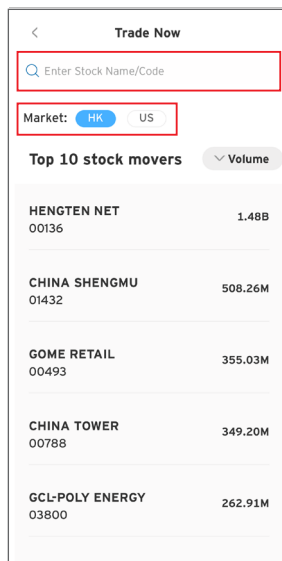
- 1 Login to Citi Mobile® App, tap **"Wealth"**



- 2 Select **"Stock Services"** and tap **"Trade Now"** to begin your stock trading



- 3 Select the **Stock Market** and enter your desired stock name/code
- When you search by stock **code**:      When you search by stock **name**:



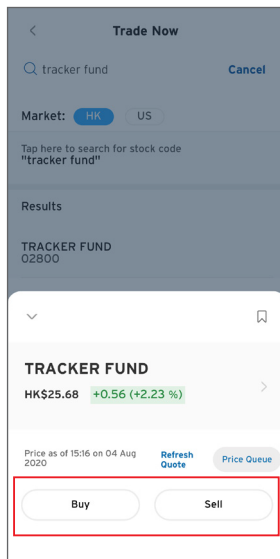
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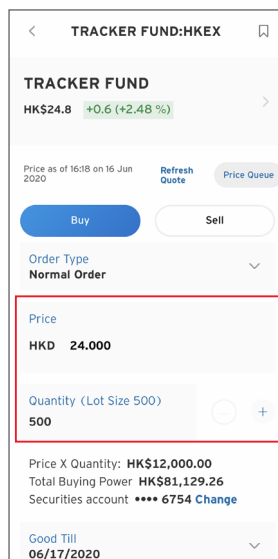
# Trade Stock

Via Citi Mobile® App

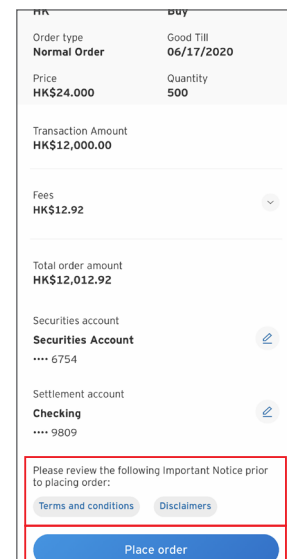
## 4 Choose Buy/Sell



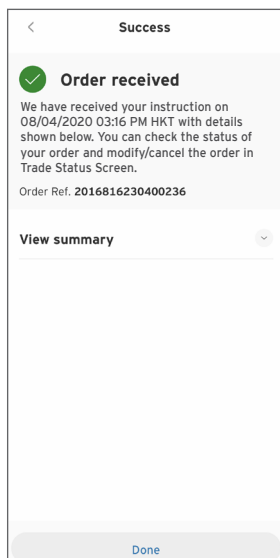
## 5 Enter your desired Price and Quantity



## 6 Review the important notice. Then verify your order details and place the order



## 7 You have placed your order successfully!



Tap here to see how to add your desired stocks to watchlist



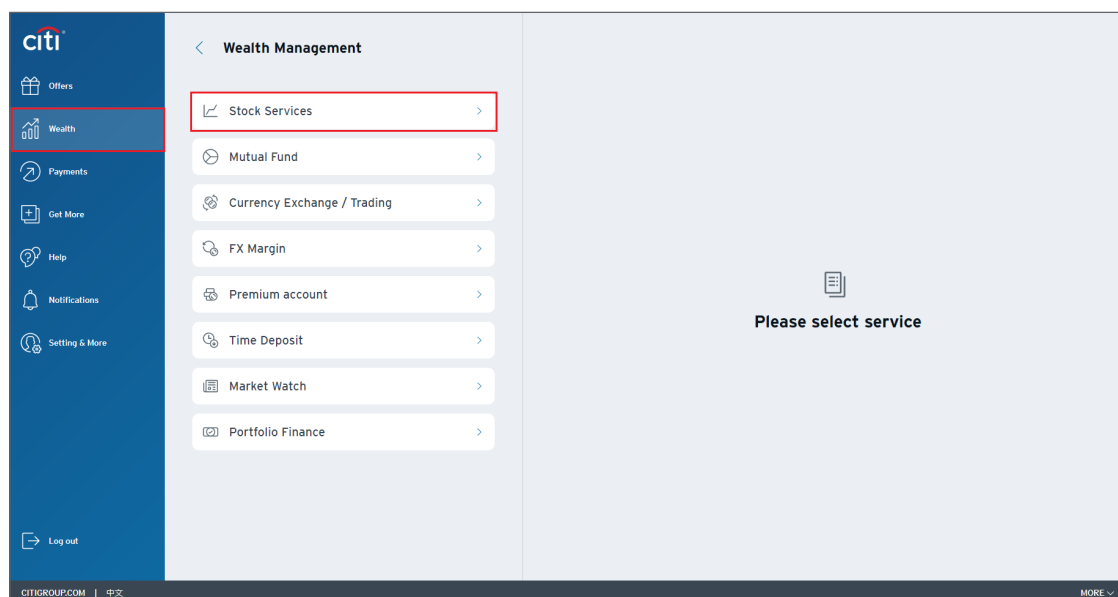
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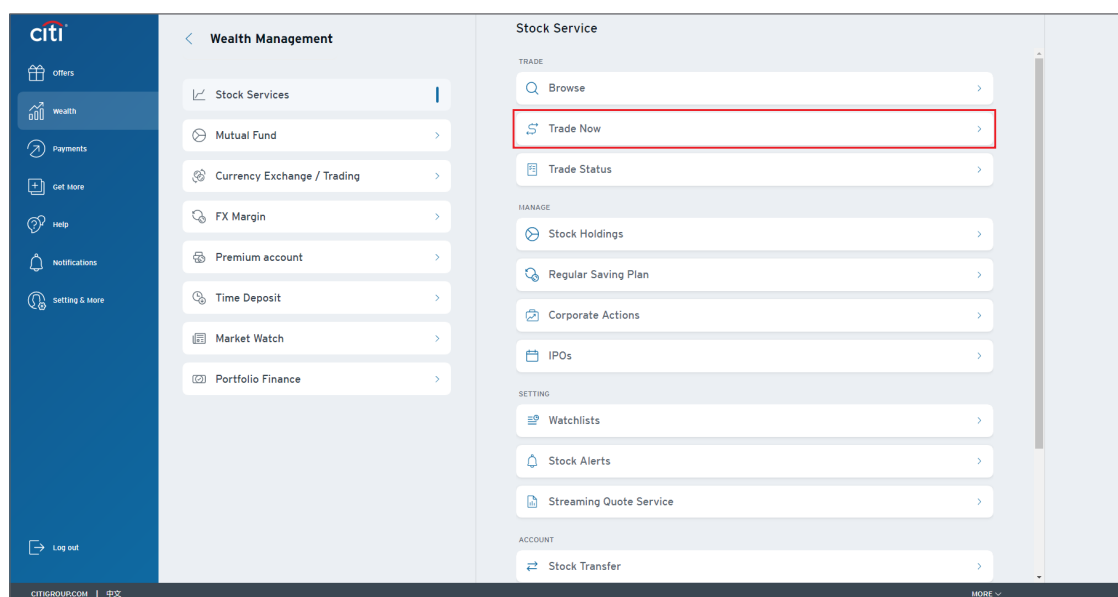
# Trade Stock

Via Citibank Online

- 1 Click **"Stock Services"** under **"Wealth"**.



- 2 Go to **"Trade Now"** tab



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# Trade Stock

Via Citibank Online

- 3 Input the **Stock Code/ Name** first, then choose **Buy/Sell**. Then, fill in **transaction details** and click **"Next"**.

TRADE NOW - NEW ORDER

Stock  
HK : 02800  
TRACKER FUND

View stock information

Last price  
HKD 22.08 (-0.55 (-2.45%))  
02 FEB 2023, 04:08PM

Refresh

Broker queue

ORDER DETAILS

Buy Sell

Order Type  
Normal order

Price  
HKD 20

Quantity  
500  
Minimum lot size: 500

Price X Quantity  
HKD 10,000  
Total Buying Power: HKD 23,000

Securities Account XXXXXX1234  
Linked to Settlement Account XXXXXX4321

Switch

Good Till  
03 Feb 2023

Cancel Next

- 4 Verify the order details and click **"Verify details"**.

Verify Details

ORDER DETAILS

Action Buy Order Type Normal order

Market HK Stock Code 02800

Stock Name TRACKER FUND

Price HKD 20 Quantity 500

Transaction amount HKD 10,000

Estimated fees & charges (for reference only) HKD 2.87

FRC Transaction Levy: HKD 0 Transaction Levy: HKD 0.02

Trading Fee: HKD 0.05 Stamp Duty: HKD 2

Investor Compensation Levy: HKD 0 Commission: HKD 0.8

Total Order Amount HKD 10,002.87

Good Till 03 FEB 2023

YOUR ACCOUNT DETAILS

Securities account XXXXXX1234  
Linked to Settlement Account XXXXXX4321

Verify Details



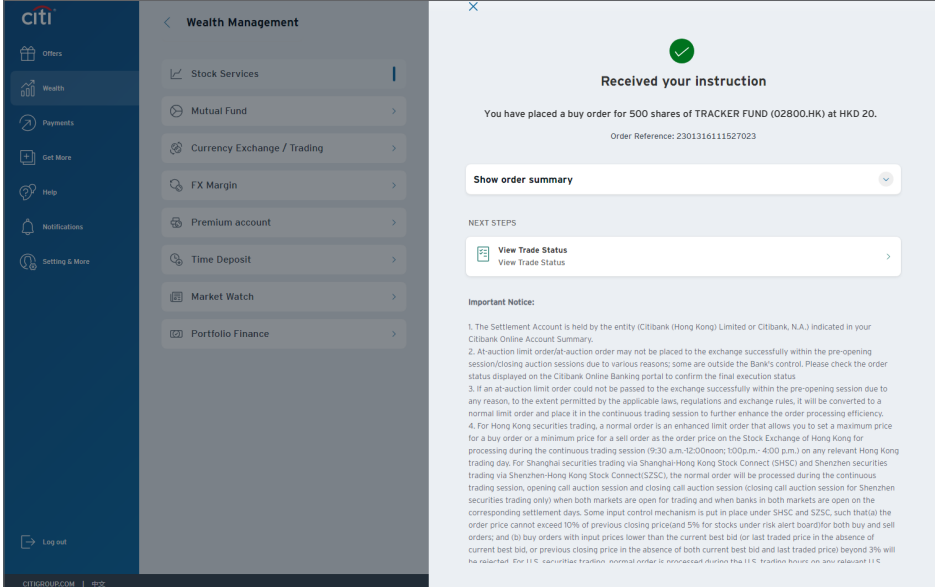
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# Trade Stock

Via Citibank Online

## 5 Your stock order has been placed successfully.



The screenshot displays the Citibank Online Wealth Management interface. On the left is a dark blue sidebar with navigation icons and labels: Offers, Wealth, Payments, Get More, Help, Notifications, and Settings & More. Below these is a 'Log out' button. The main content area is titled 'Wealth Management' and features a list of services: Stock Services, Mutual Fund, Currency Exchange / Trading, FX Margin, Premium account, Time Deposit, Market Watch, and Portfolio Finance. The right-hand panel shows a confirmation message: 'Received your instruction' with a green checkmark icon. Below this, it states: 'You have placed a buy order for 500 shares of TRACKER FUND (02800.HK) at HKD 20.' and provides the 'Order Reference: 2301316111527023'. There is a 'Show order summary' button. Under 'NEXT STEPS', there is a 'View Trade Status' button. An 'Important Notice' section follows, containing several lines of small text regarding settlement accounts, at-auction orders, and trading rules for Hong Kong and Shanghai-Hong Kong Stock Connect.



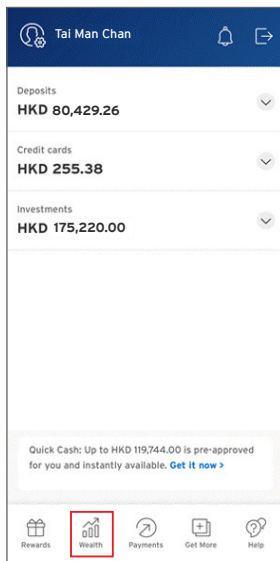
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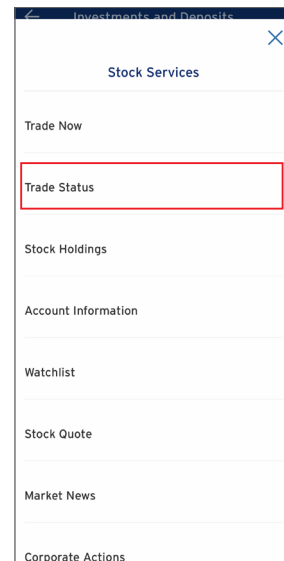
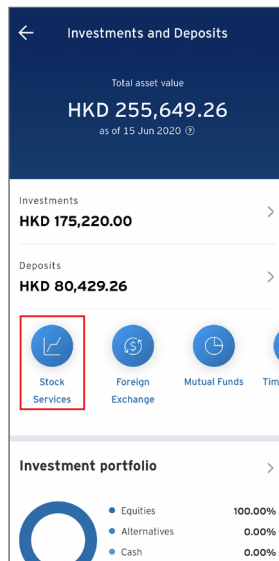
# Check Trade Status & Modify an Order

Via Citi Mobile® App

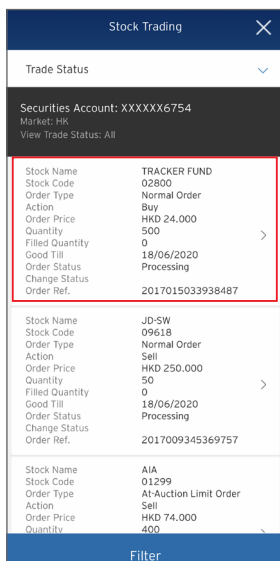
- 1 Login to Citi Mobile® App, tap **"Wealth"**



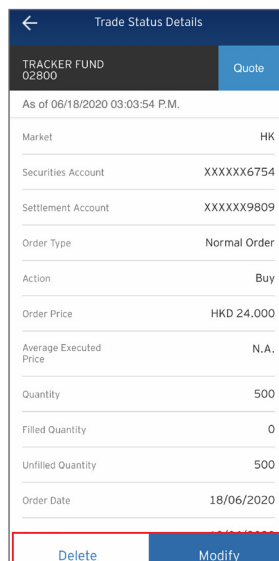
- 2 Select **"Stock Services"** and tap **"Trade Status"** to check your order



- 3 Tap on the order you want to review



- 4 Tap **"Modify"** to update the price or quantity of your order, or tap **"Delete"** to cancel the order



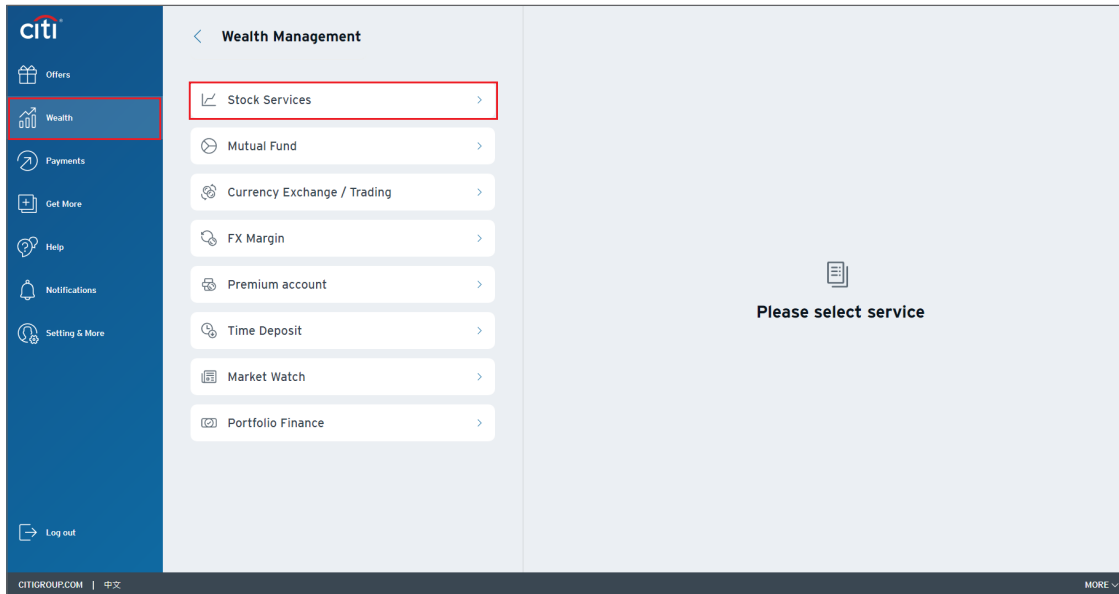
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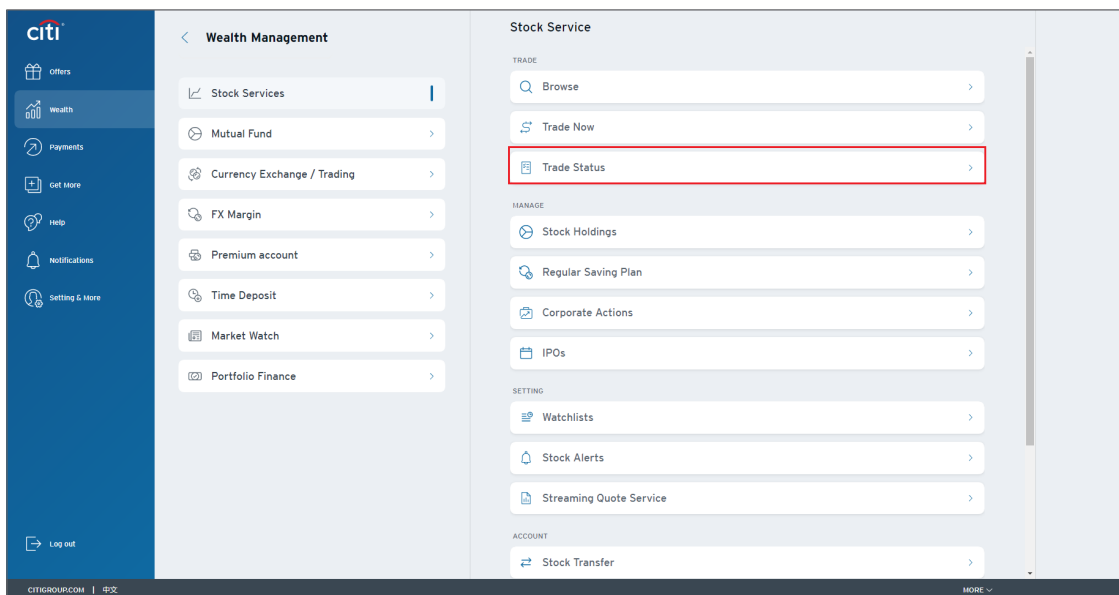
# Check Trade Status & Modify an Order

Via Citibank Online

- 1 Click **"Stock Services"** under **"Wealth"**.



- 2 You can go to **"Trade Status"** tab to check or modify your order.



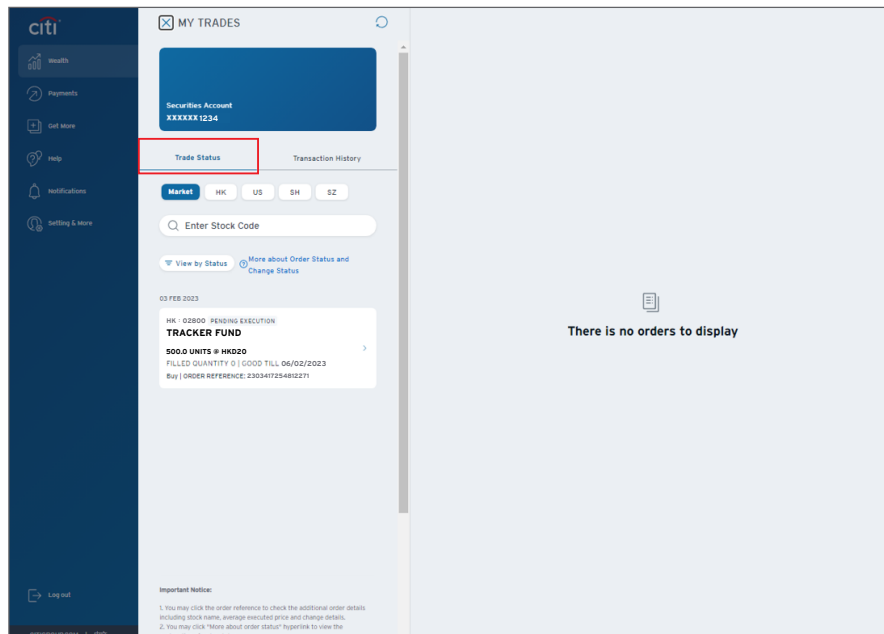
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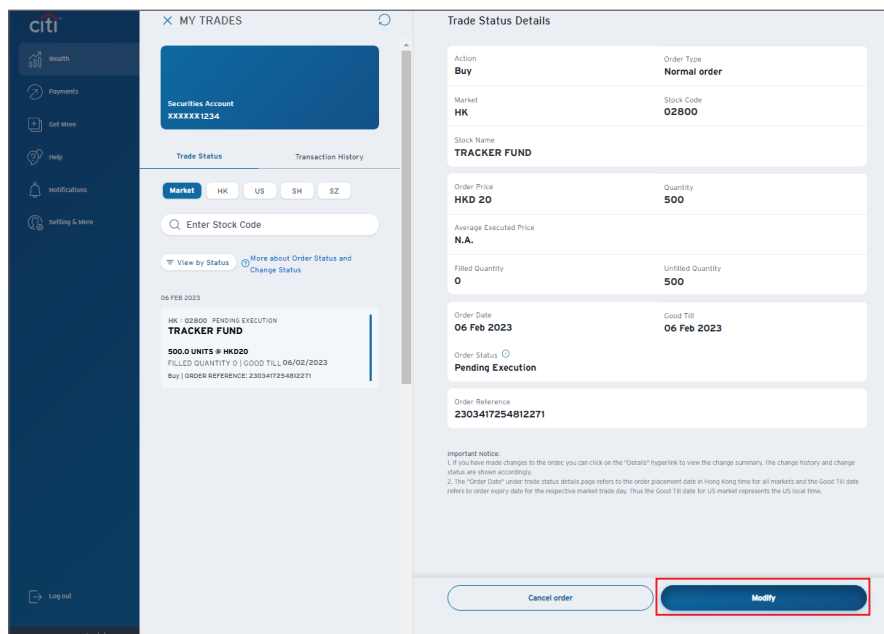
# Check Trade Status & Modify an Order

Via Citibank Online

- 3 Tap **"Trade Status"** and select the order you want to check.



- 4 Tap **"Modify"** to update the price or quantity of the order, or tap **"Cancel order"** to cancel the order



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# Check Trade Status & Modify an Order

Via Citibank Online

- 5 After modifying the price or quantity of the order, click **"Next"**

The screenshot shows the Citibank 'Modify Order' interface. On the left, the 'MY TRADES' section displays a pending order for 'TRACKER FUND' (HK - 02800) with a quantity of 500.0 units. The main panel shows the 'Modify Order' details for Order Reference 2303417254812271. The order is a 'Normal order' to 'Buy' the stock. The 'New Price' is set to HKD 21, and the 'New Order Quantity' is 500. The 'Good Till' date is 06 Feb 2023. At the bottom, the 'Next' button is highlighted with a red box.



- 6 Review the modified order details, then click **"Verify Details"** to modify the order.

The screenshot shows the Citibank 'Verify Details' screen. It displays the modified order details for 'TRACKER FUND' (HK - 02800). The order is a 'Normal order' to 'Buy' the stock. The 'Price' is HKD 20, and the 'New Price' is HKD 21. The 'Original Quantity' is 500, and the 'New Order Quantity' is 500. The 'Good Till' date is 06 Feb 2023. The 'Total Order Amount' is HKD 10,500. The 'Verify Details' button is highlighted with a red box.



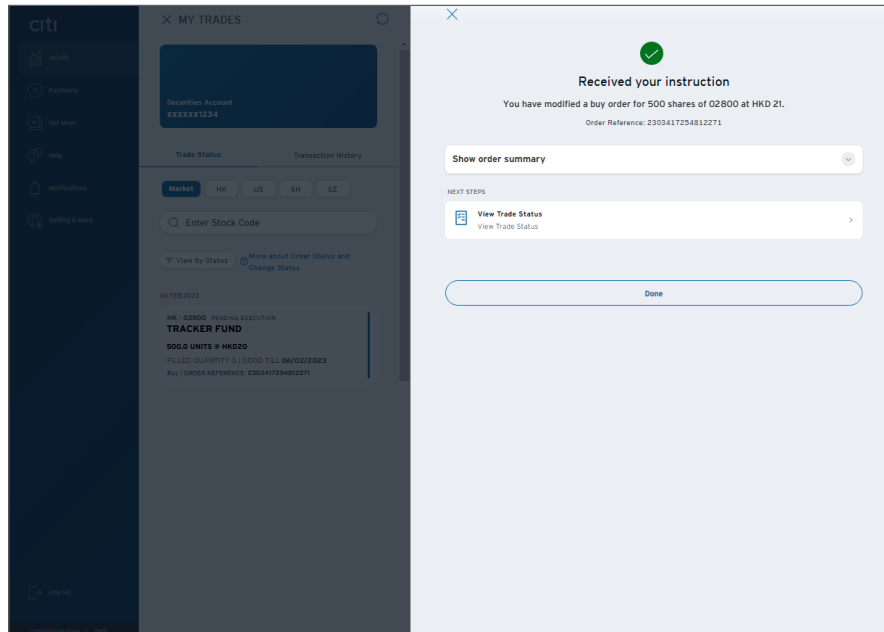
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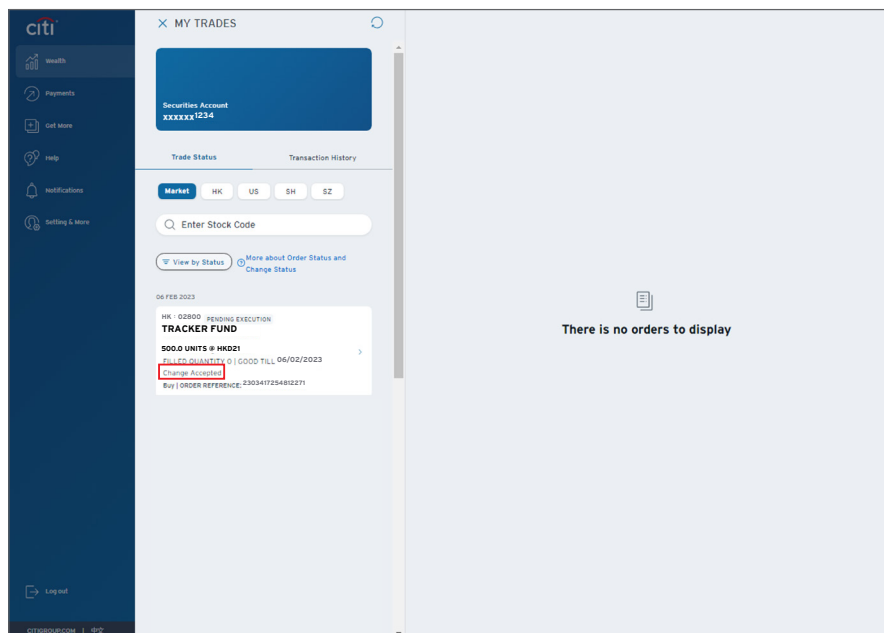
# Check Trade Status & Modify an Order

Via Citibank Online

## 7 Your order has been modified.



## 8 You can check the change status for the modified order.



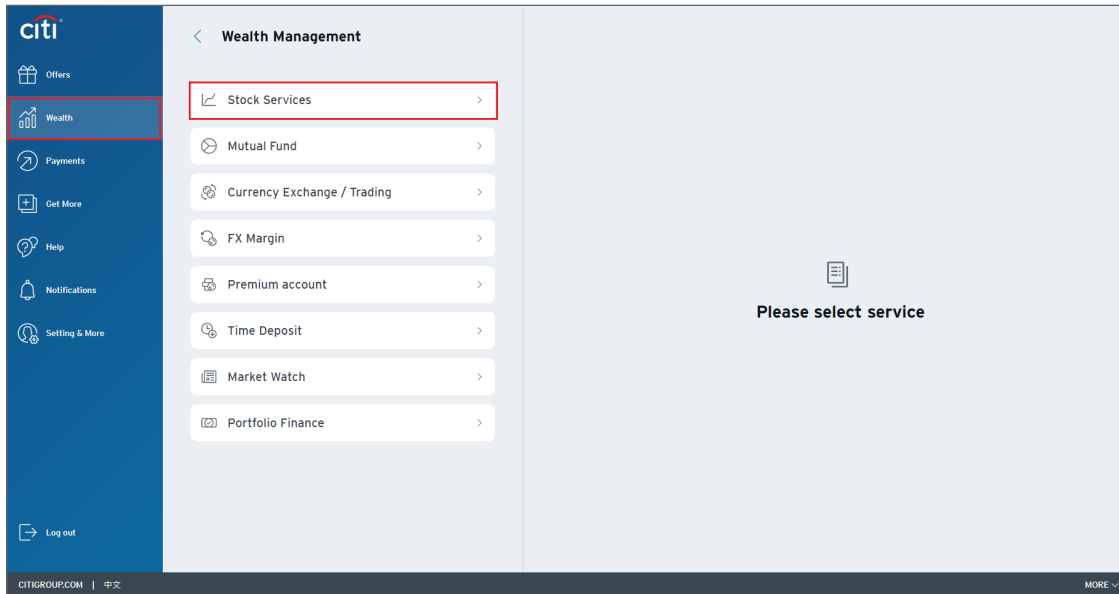
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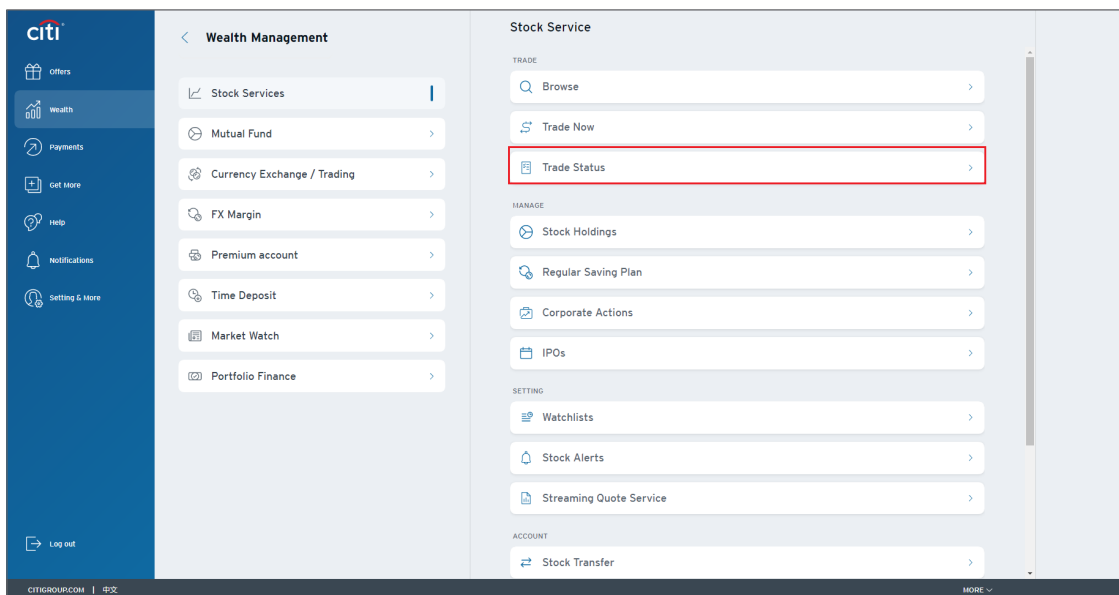
# Check My Transaction History

Via Citibank Online

1 Click **"Stock Services"** under **"Wealth"**.



2 Go to **"Trade Status"** tab.



## Important Disclaimer:

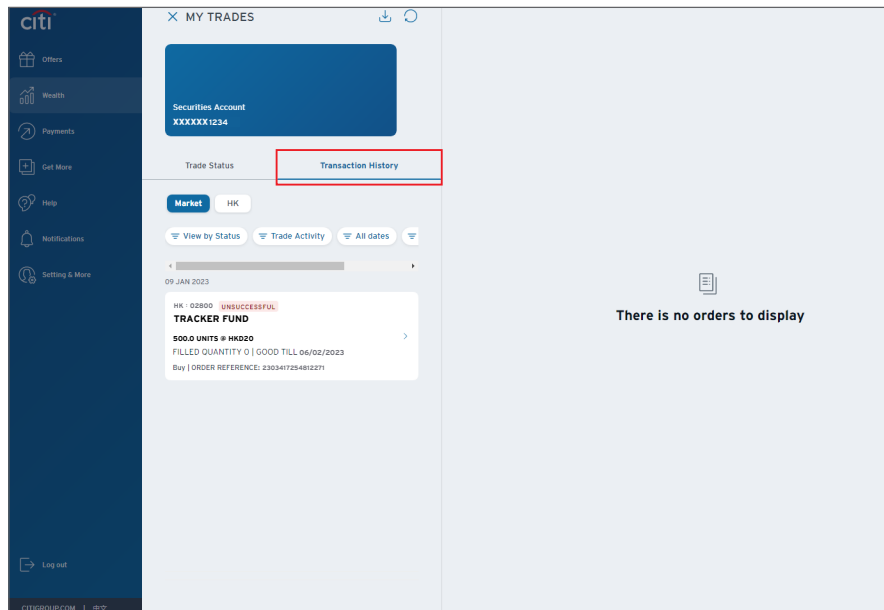
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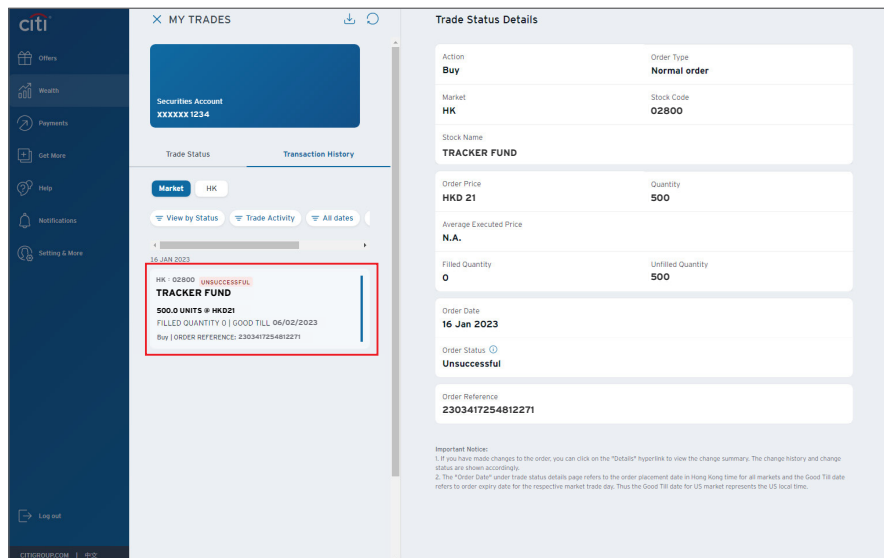
# Check My Transaction History

Via Citibank Online

- 3 Click “Transaction History” tab.



- 4 Click the transaction to view respective order details such as price, quantity and trade status.



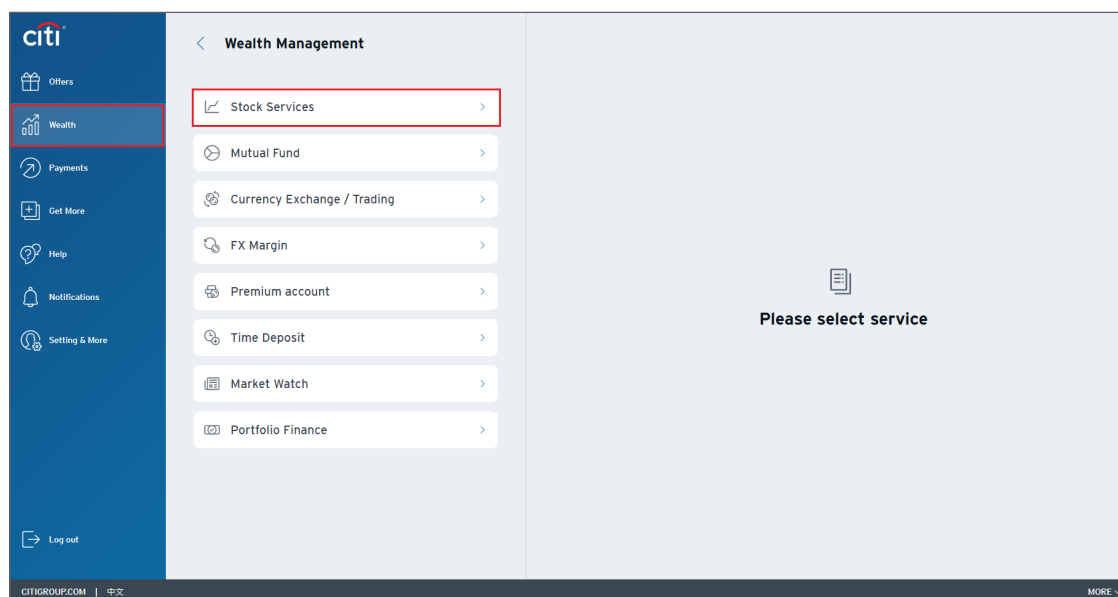
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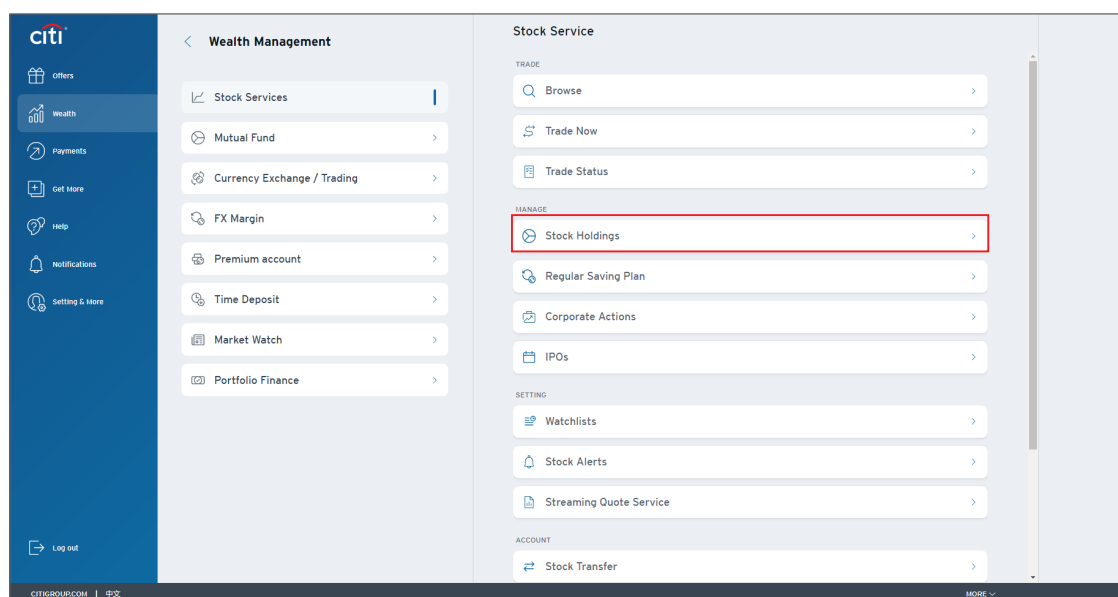
# Check My Stock Holdings

Via Citibank Online

- 1 Click **"Stock Services"** under **"Wealth"**.



- 2 Go to **"Stock Holdings"** tab to view your stock holdings



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# Check My Stock Holdings

Via Citibank Online

3 You may filter the stock holdings by stock market and download your stock holdings details

**Stock Holdings**

Securities account  
XXXXXX2629

Market: **HK** US SH SZ

SHOW CURRENT VALUE IN HKD ☒

HK : 028500  
**TRACKER FUND**  
287 UNITS AT HKD 20.5 | LAST: HKD 21.90  
**HKD 6,285.30**  
+401.76 (+6.83%)

Click [here](#) for the Loan-to-Value Ratio (LTV) of eligible collaterals for Portfolio Financing services.

**Important Notice:**

1.The Total Market Value of your holding in the Securities Account is based on the last price which is a 15 minutes delayed quote.  
2.The Remaining Free Snapshot Count includes the free stock and index quotes that is not utilized from the first trading day of the month to the previous trading day. The Chargeable Snapshot Count is subject to respective service charges for Hong Kong and, Shanghai and Shenzhen markets. The monthly free quotes available to customers for each market are: (1) first 500 quotes for Citibanking and Citi Priority (2) first 1000 quotes for Citigold and Citigold Private Client and thereafter HK\$ /CNY0.30 per quote will be charged for respective market.  
3.The Chargeable SMS Count includes the Trade Level Alerts and Stock Alerts SMS messages utilized from the first trading day of the month to the previous trading day. The Chargeable SMS Count is subject to service charge, which is HK\$/CNY\$/US\$0.2 per unit. Charges for Order Level Alerts are waived.  
4.The Total Buying Power includes the available funds in your designated stock settlement account and the receivable funds of the stock sale proceeds. In respect of the account pledged for the Investment Plus service, where there is insufficient margin value from the eligible investments and/or eligible deposits to support the outstanding loan under the service, the receivable funds of any stock sale proceed before the settlement date will not be regarded or reflected as part of the Total Buying Power.  
5.If you are using HKD MaxiSavings Checking Account as stock settlement account, please note that on the setup day of any Non-fixed Deposit, the amount of such deposit can neither be utilized for stock purchase nor be withdrawn nor be transferred out, in whole or in part, from such account.  
6.Change value is the last price, which is a 15 minutes delayed quote, that compared with closing price of the previous trading day.



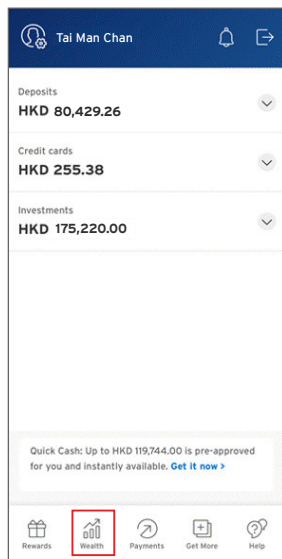
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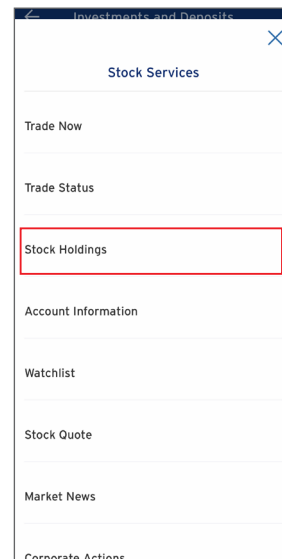
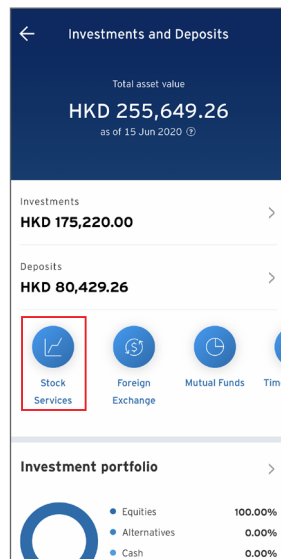
# Check My Stock Holdings

Via Citi Mobile® App

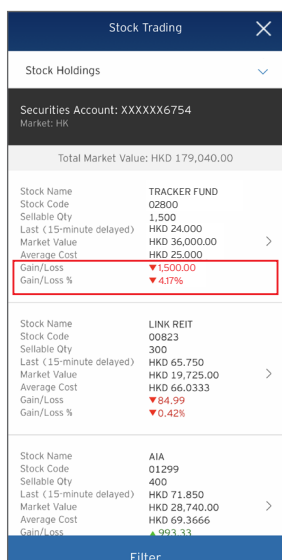
- 1 Login to Citi Mobile® App, tap **"Wealth"**



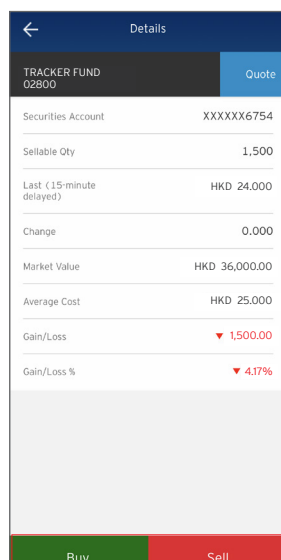
- 2 Select **"Stock Services"** and tap **"Stock Holdings"** to check your stock holdings



- 3 See your latest profits and losses **without manual input**



- 4 Click on your stock holding, and you can instantly trade the same stock again by clicking **"Buy"** or **"Sell"**



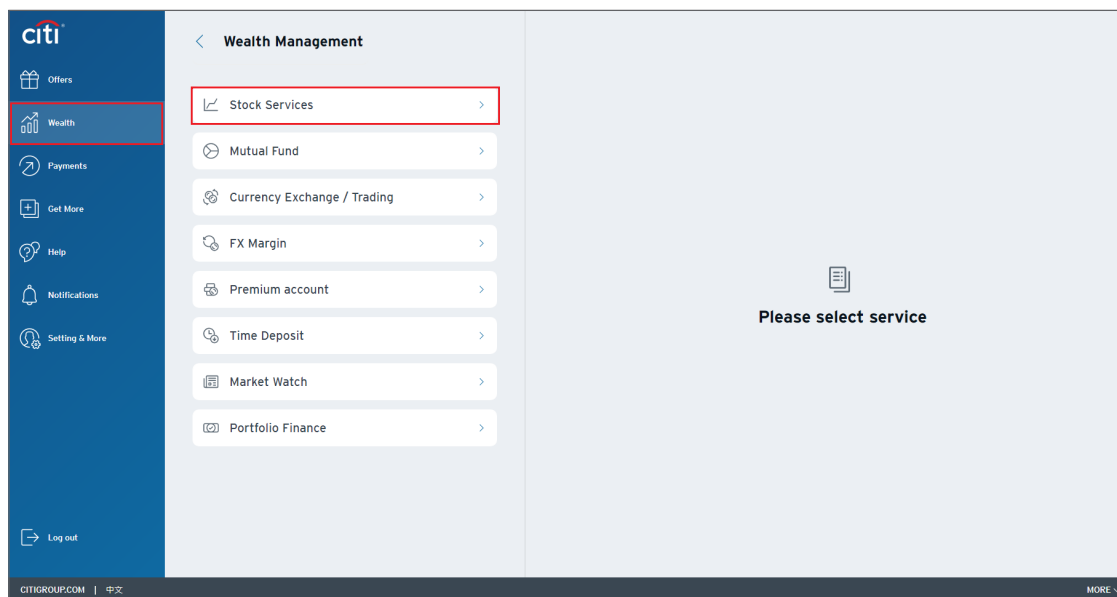
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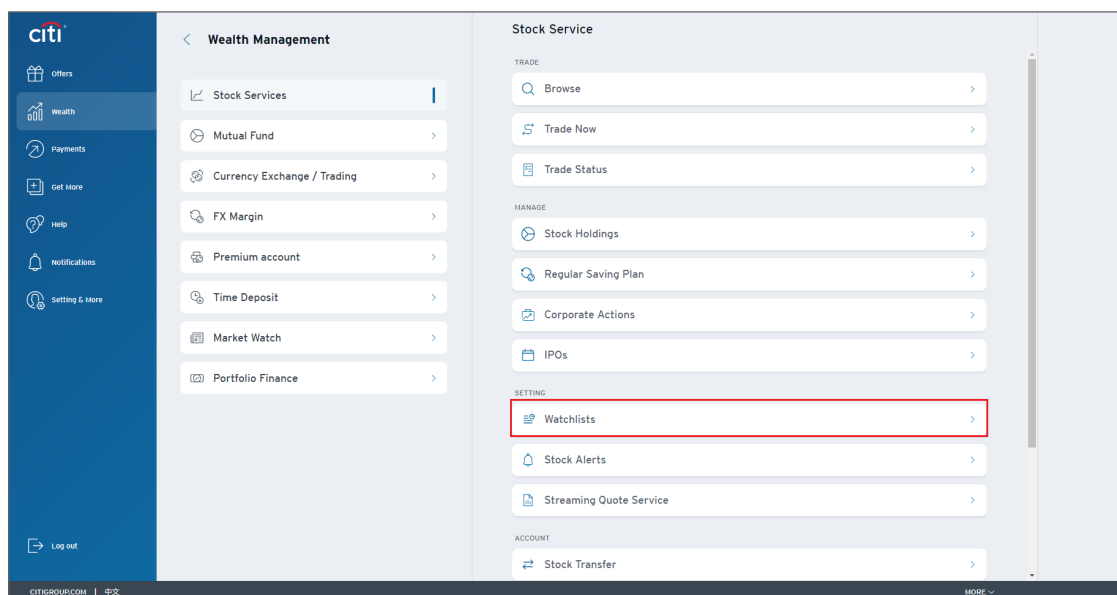
# View & Create My Watchlist

Via Citibank Online

- 1 Click **"Stock Services"** under **"Wealth"**.



- 2 Go to **"Watchlists"** tab



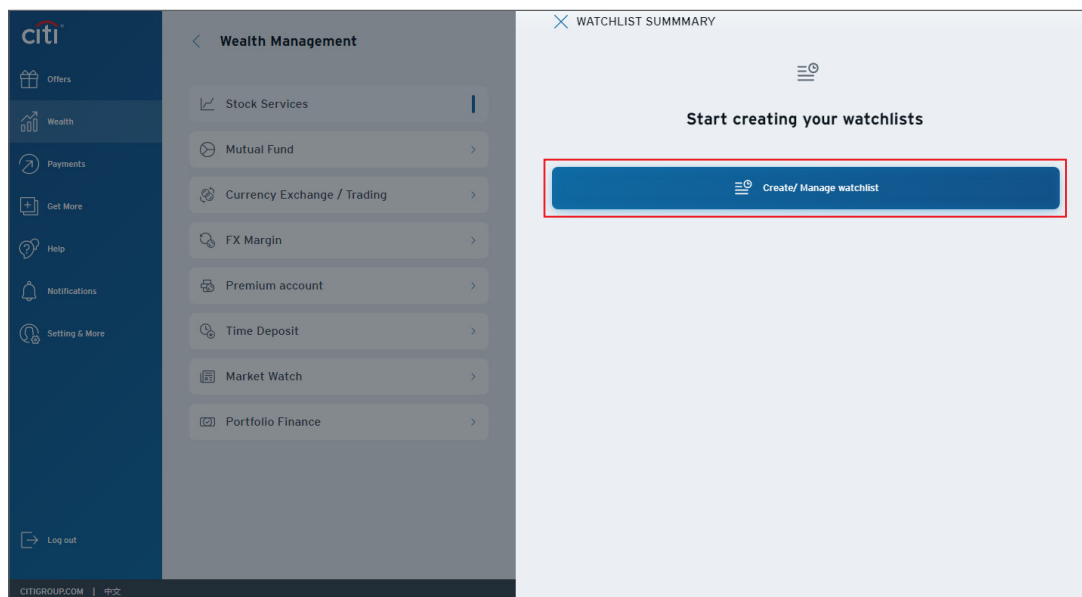
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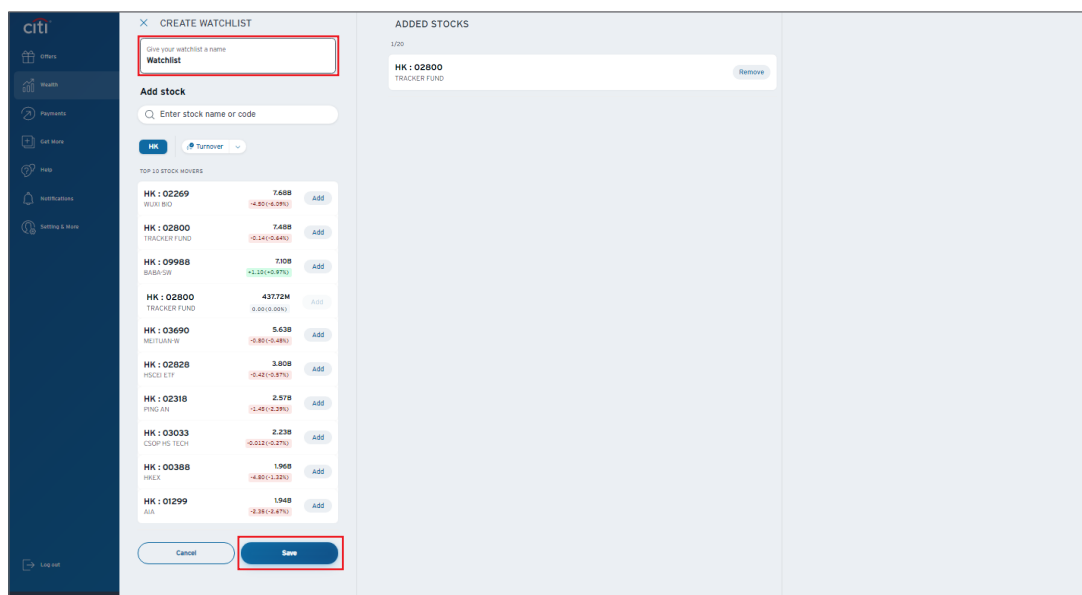
# View & Create My Watchlist

Via Citibank Online

## 3 Click "Create/Manage watchlist"



## 4 Enter a name for your watchlist and add stock(s) to it. Then click "Save".



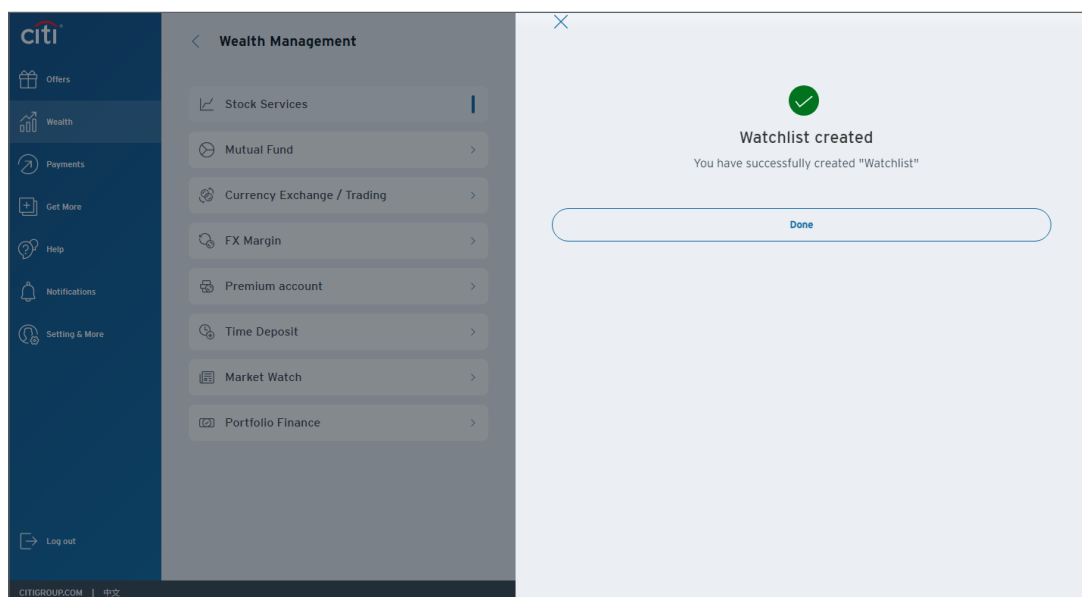
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# View & Create My Watchlist

Via Citibank Online

## 5 Your watchlist has been created.



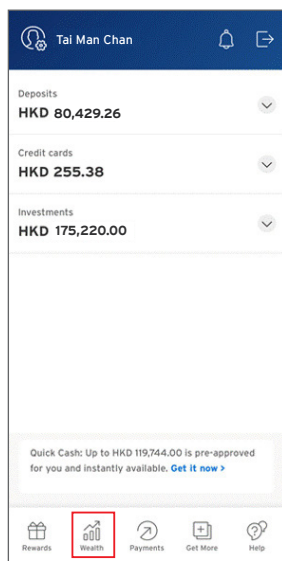
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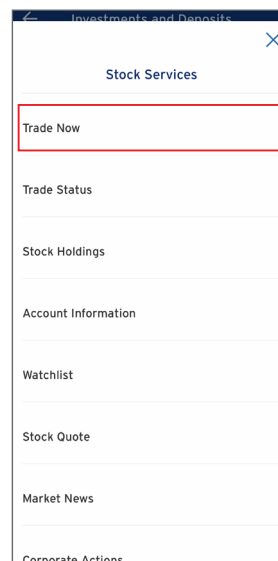
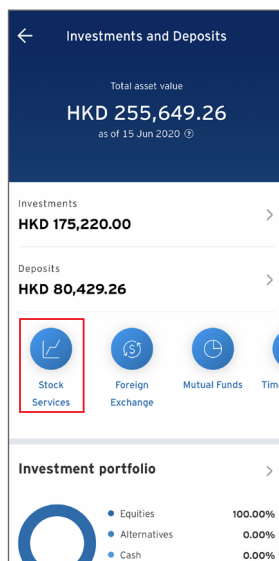
# View & Create My Watchlist

Via Citi Mobile® App

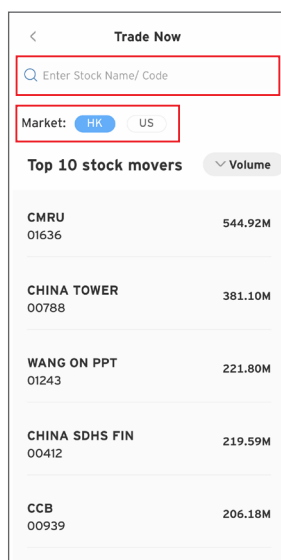
- 1 Login to Citi Mobile® App, tap **"Wealth"**



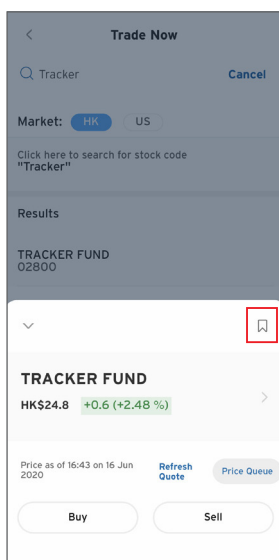
- 2 Select **"Stock Services"** and tap **"Trade Now"**



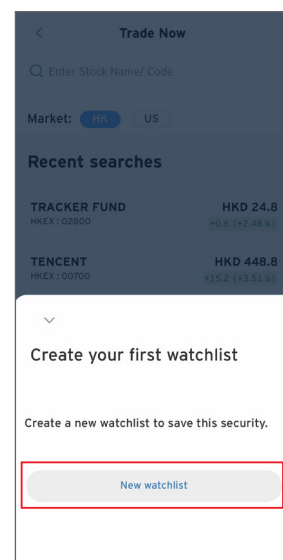
- 3 Enter stock name/code and select the **Stock Market** to search for your desired stock



- 4 Tap the flag icon to create a new watchlist



- 5 Tap **"New watchlist"**



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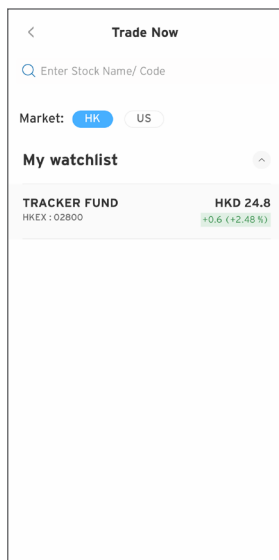
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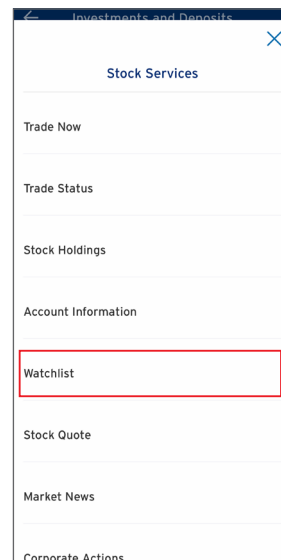
# View & Create My Watchlist

Via Citi Mobile® App

- 6 Done! You have created your own watchlist.



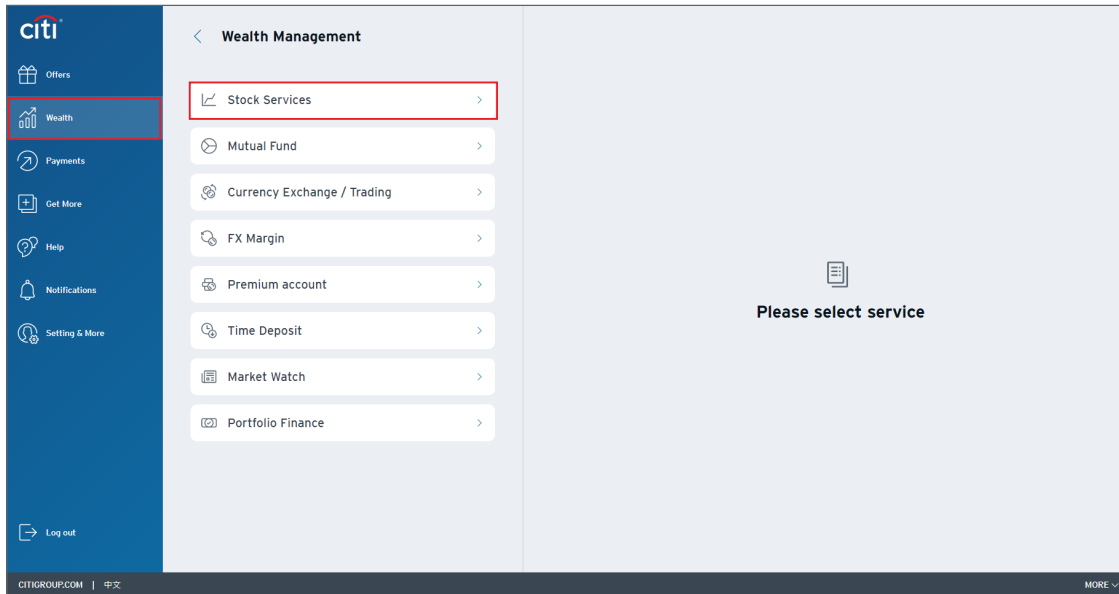
When ever you want to view your watchlist, just go to **"Watchlist"** under **"Stock Services"**



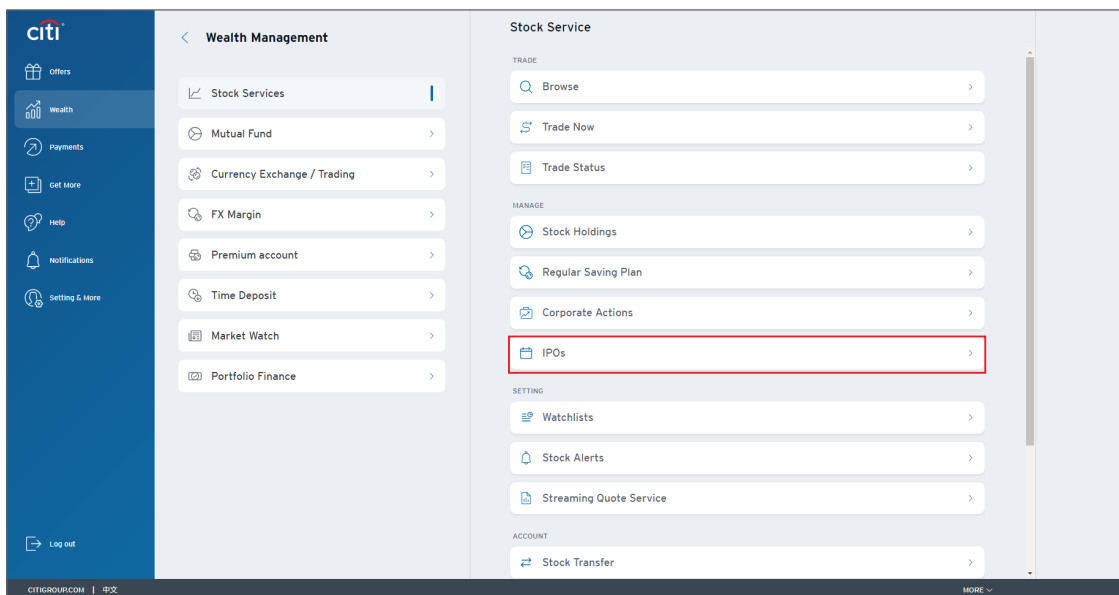
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## 1 Click "Stock Services" under "Wealth".



## 2 Go to "IPOs" tab



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- 3 Click **"Apply"** on the IPO you would like to apply for

**IPO Information** | Application Status

**Initial Public Offering**

Market: HK

**CURRENT IPO**

Listing Date	Stock Code	Stock Name	Lot Size	Currency	Offering Price	
01/17/2018	00123	ABC LIMITED	8,000	HKD	0.5200 - 0.6800	<b>Apply</b>
01/18/2018	00012	EFG CO., LTD	1,000	HKD	2.6100 - 2.7700	Apply
01/19/2018	02223	HIJ LIMITED	4,000	HKD	0.9000 - 1.1000	Apply

+ Move to Top



- 4 Read the relevant documents carefully and check the box to indicate your understanding. Then input the number of shares and click **"Next"**

**IPO Application** Step 1 of 4: Provide Details

You must read and fully understand the Prospectus, other offering documents and Application Form of the relevant Public Offering prior to making an application for subscribing securities in that Public Offer through Citibank Online IPO Application Service.

☐ I have read and understood the Prospectus and the Application form and would like to proceed to application.

**IPO Details**

Market: HK

Stock Code: 00123

Stock Name: ABC LIMITED

Number of Offer Shares: 197,000,000

Number of Public Offer Shares: 19,700,000

Offer Price: HKD 2.3500 - 2.9000

IPO Opening Date (MM/DD/YYYY): 12/29/2017

Submission Deadline to Citibank (MM/DD/YYYY HH:MM): 01/05/2018 04:00 P.M.

IPO Closing Date (MM/DD/YYYY): 01/08/2018

Price Fixing Date (MM/DD/YYYY): 01/08/2018

Result Announcement Date (MM/DD/YYYY): 01/12/2018

Listing Date (MM/DD/YYYY): 01/15/2018

**Please fill in the IPO application details.**

Securities Account Number: XXXXXX1050

Settlement Account Available Funds: HKD 12,005.14

Share Range:

Number of Shares Applied for:

+ Cancel **NEXT**

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### 5 Read and agree to the terms and conditions. Click **"Next"**

**Important Notice:**

1. Citibank (Hong Kong) Limited ("the Bank") will be instructing DBS Vickers (Hong Kong) Limited ("Execution Broker") to provide the Citibank Online IPO Application Service to you, including but not limited to (a) submitting applications to the issuer of the Public Offer ("the Issuer") / Receiving Bank appointed by the issuer (b) receiving and handling application moneys for onward submission to the issuer / Receiving Bank appointed by the issuer (c) receiving and handling securities allotted by the issuer and (d) receiving and handling refund of any application money from the issuer / Receiving Bank appointed by the issuer. The Bank shall not be liable in respect of the actions or omissions or solvency of the Execution Broker through or with whom transactions are effected for your account as mentioned herein and agree to assume to such risks.
2. The Bank has the discretion from time to time to modify, restrict, withdraw, cancel, suspend or discontinue the Citibank Online IPO Application Service without giving any reason. You understand that by using the Citibank Online IPO Application Service after any modification or changes has been effected, you have agreed to such modification or change.
3. The Bank reserves the right to close the Online IPO Application Service prior to the Submission Deadline to Citibank as stated below.
4. Citibank Online IPO Application Service is only offered to eligible persons who are qualified to apply for securities in the relevant Public Offer in accordance with the terms and conditions of such Public Offer.

☐ I have read and accepted the Terms and Conditions.

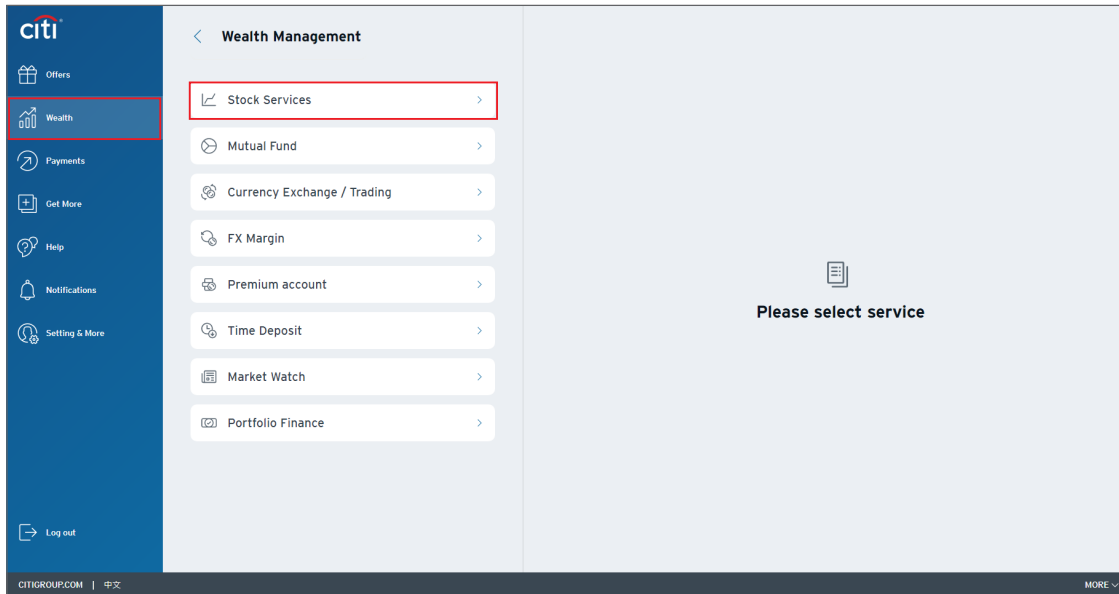
[Back](#)
[NEXT](#)

### 6 Your IPO application has been submitted successfully.

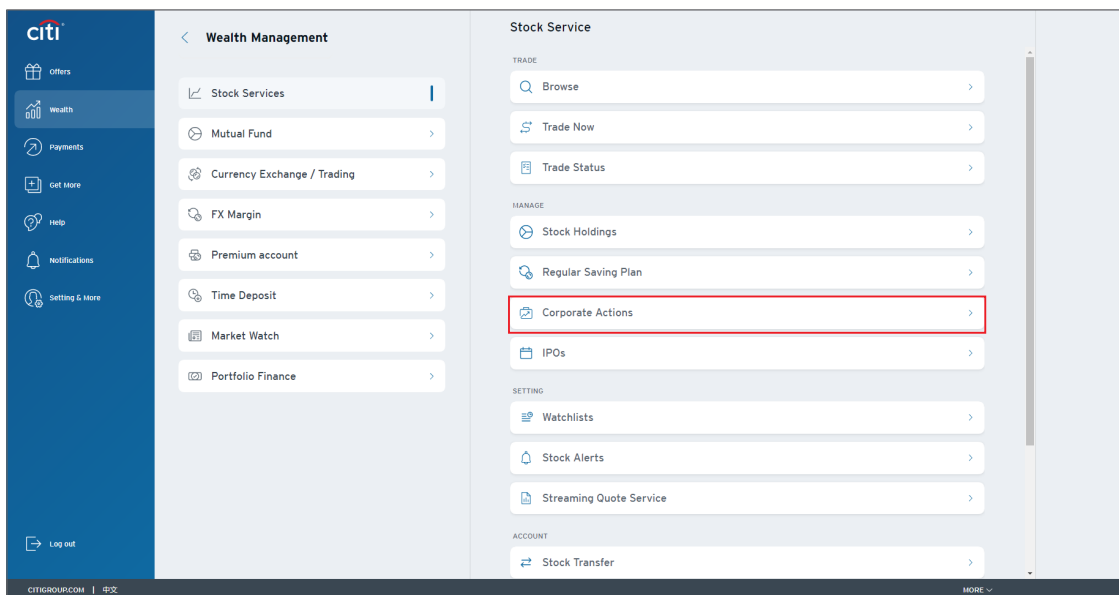
# Corporate Actions

Via Citibank Online

1 Click **"Stock Services"** under **"Wealth"**.



2 Go to **"Corporate Actions"** tab



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# Corporate Actions

Via Citibank Online

- 3 Select the desired option, then tap on “Next” to proceed.

[Event Overview](#) | [Permanent Cash/Scrip Dividend Instruction](#)Step 1 of 3: Provide Details

### Corporate Actions - Dividend With Cash/Scrip Option

Securities Account:	XXXXXX4008
Market:	HK
Stock Code:	00005
Stock Name:	HSBC HOLDINGS
Trading Currency:	HKD
Event Description:	Dividend with Cash/Scrip Option
Event Reference:	1910003044138071
Current Entitled Quantity:	567
Record Date:	10/03/2022
Dividend Rate:	HKD 0.5000000
Re-Investment Price:	HKD 64.20000
Current Permanent Instruction:	Accept 100% Scrip Dividend
Remarks:	Testing

**Instruction:**

- ☒ Option 1 Accept 100% Scrip Dividend
- ☐ Option 2 Accept 100% Cash Dividend
- ☐ Option 3 Accept  Shares as Scrip Dividend  
Remaining shares will be received in cash.  
[Please click here for further instructions.](#)

Reply Due Date: 11/30/2022 05:00 P.M.



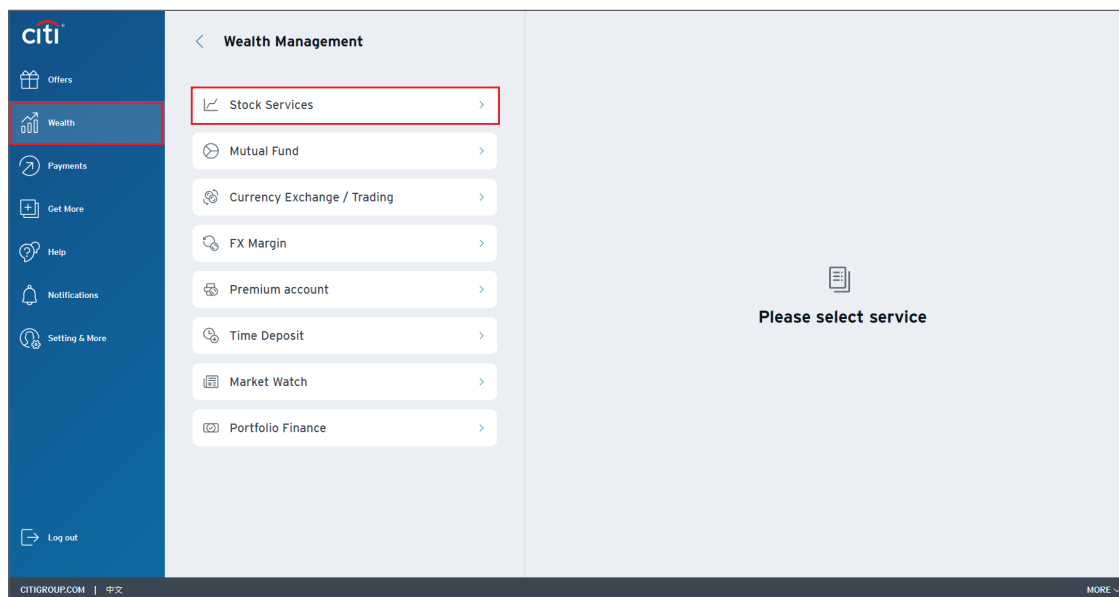
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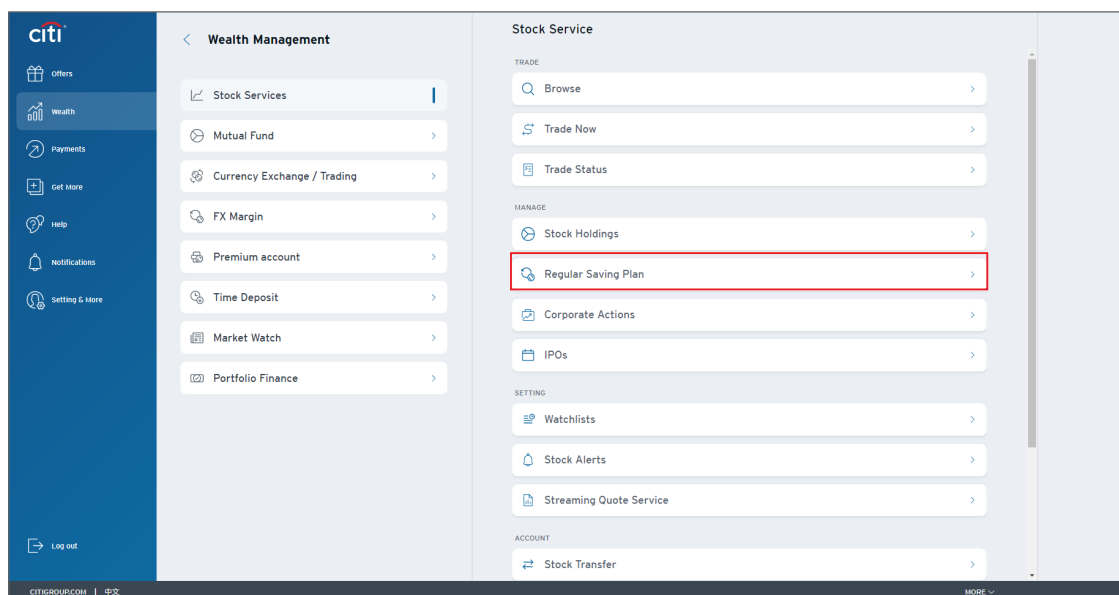
# Regular Saving Plan

Via Citibank Online

- 1 Click **"Stock Services"** under **"Wealth"**.



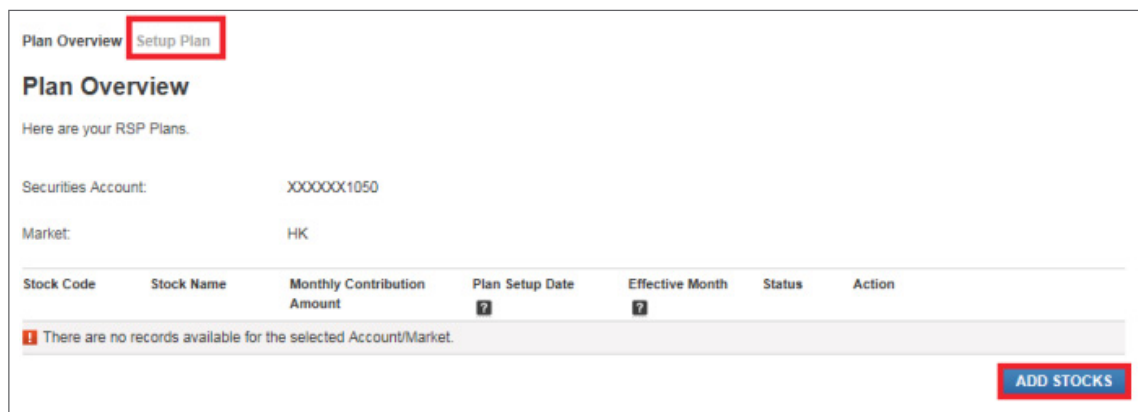
- 2 Go to **"Regular Saving Plan"** tab



# Regular Saving Plan

Via Citibank Online

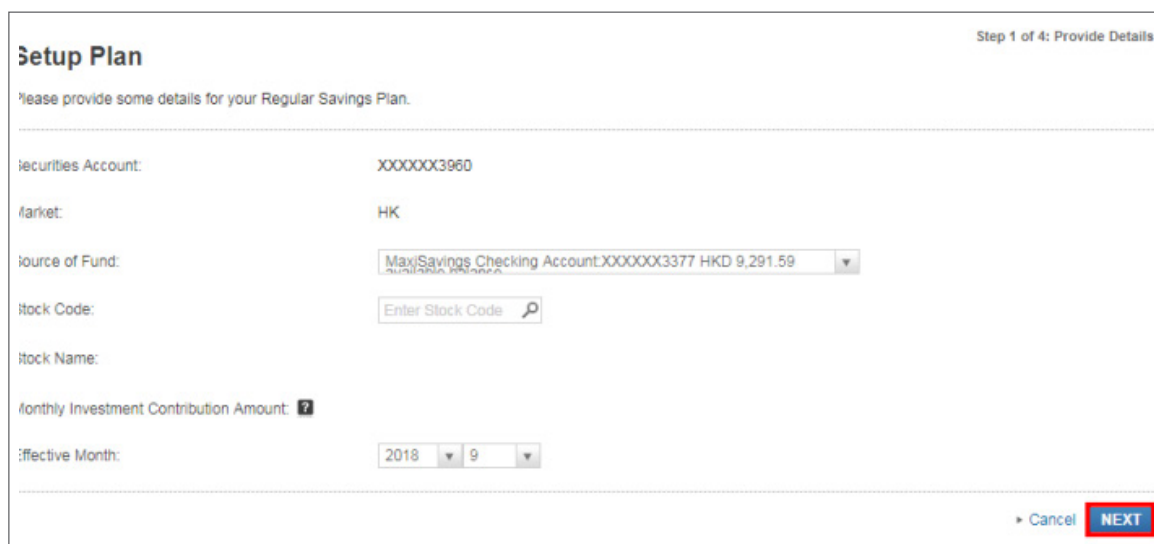
- 3 Click **"Setup Plan"**, then click **"Add Stocks"** to proceed.



The screenshot shows the 'Plan Overview' page. At the top, there are two tabs: 'Plan Overview' and 'Setup Plan'. The 'Setup Plan' tab is highlighted with a red box. Below the tabs, the text 'Plan Overview' is displayed, followed by 'Here are your RSP Plans.' The page shows fields for 'Securities Account:' (XXXXXX1050) and 'Market:' (HK). Below these is a table with columns: 'Stock Code', 'Stock Name', 'Monthly Contribution Amount', 'Plan Setup Date', 'Effective Month', 'Status', and 'Action'. The table is currently empty, and a message at the bottom states: 'There are no records available for the selected Account/Market.' A red box highlights the 'ADD STOCKS' button in the bottom right corner.



- 4 Input details below and click **"Next"**.



The screenshot shows the 'Setup Plan' page, labeled 'Step 1 of 4: Provide Details'. The text 'Please provide some details for your Regular Savings Plan.' is at the top. The page contains several input fields: 'Securities Account:' (XXXXXX3960), 'Market:' (HK), 'Source of Fund:' (MaxiSavings Checking Account:XXXXXX3377 HKD 9,291.59), 'Stock Code:' (Enter Stock Code), 'Stock Name:', 'Monthly Investment Contribution Amount:', and 'Effective Month:' (2018, 9). At the bottom right, there are two buttons: 'Cancel' and 'NEXT'. The 'NEXT' button is highlighted with a red box.



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# Regular Saving Plan

Via Citibank Online

- 5 Read and agree to the Terms and Conditions. Click **"Next"**.

Step 2 of 4: Accept T&C

### Setup Plan

Stock Regular Savings Plan ("the Plan") Terms and Conditions

1. Introduction

The Plan is subject to the following Terms & Conditions, the "Terms and Conditions for Accounts and Services" and the "Terms and Conditions for Citibank Securities Services" which you have entered into.

2. Account Holding

2.1 The Plan will only be available to customers holding a Citibank Securities Account. If at any time the customer canceled the Citibank Securities Account, the Plan will automatically terminate without prior notice.

2.2 The name(s) of the customer(s) applying for participation in the Plan, in the Plan's Subscription Form shall be the same as those holding the relevant Citibank Securities Account.

☐ I have read and accepted the Terms and Conditions.

Cancel

Back

Next

- 6 Verify the Regular Savings Plan details and click **"Confirm"**.  
Your regular savings plan has been set up.



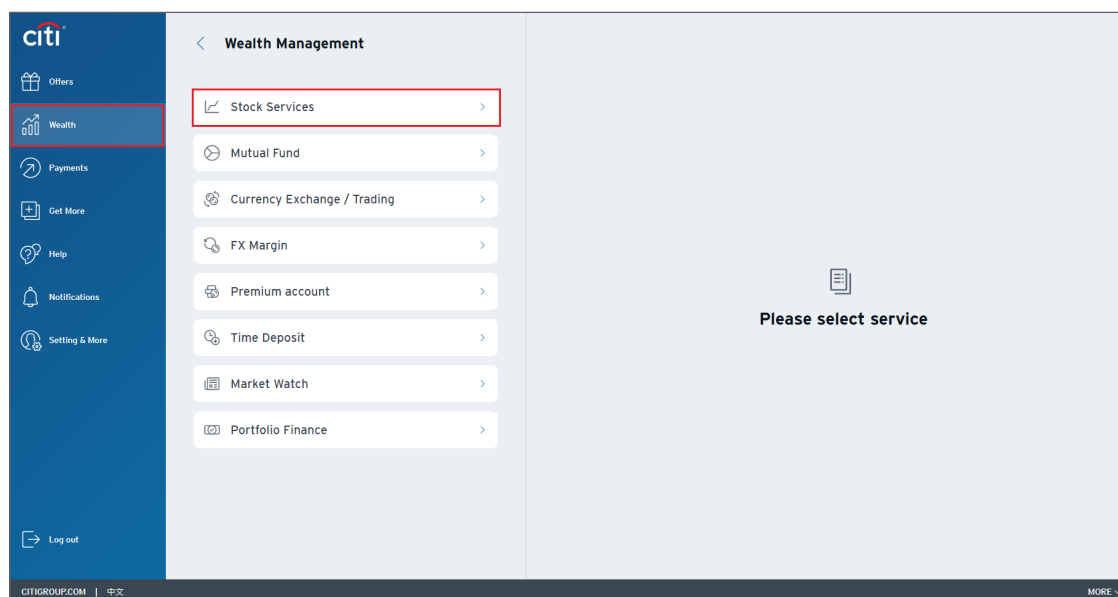
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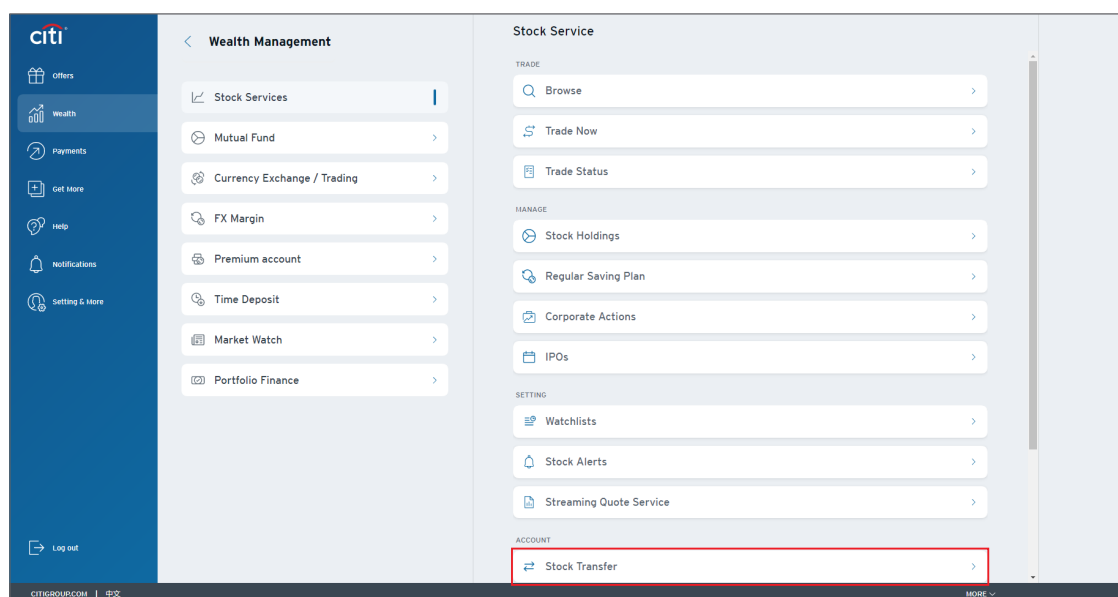
# Transfer in Stock

Via Citibank Online

- 1 Click **"Stock Services"** under **"Wealth"**.



- 2 Go to **"Stock Transfer"** tab



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# Transfer in Stock

Via Citibank Online

- 3 Click **"New Instruction"** and input the details, then click **"Next"**.

Overview **New Instruction** Step 1 of 3: Provide Details

### Stock Transfer

Please fill in the below details for your new Stock Transfer instruction:

Securities Account: XXXXXX3960

Transfer Type: Transfer In

Market: HK

Financial Institution Name:

CCASS Participant ID:

Account Number:

Value Date:  (mm/dd/yyyy)

Stock Code	Quantity	Average Unit Cost
<input type="text"/>	<input type="text"/>	<input type="text"/>

**\* Please be reminded to submit your transfer out instruction to the financial institution to complete the stock transfer process.**

- Bank Name: Citibank N.A Hong Kong for account of Citibank (Hong Kong) Limited
- Contact details: hkst.gcghk@citi.com or 2860 0222
- Applicable to HK/SH/SZ Stock:
  - CCASS Participant ID: C00010
  - Client account No: 8484940000
- Applicable to US Stock:
  - DTC (Depository Trust Company) ID: 0908

**Tips:** You shall submit the correct information provided by the financial institution to complete stock transfer-in.

- 4 Verify the details and click **"Confirm"**.  
To complete the process, please also submit a transfer out instruction to the subject Financial Institution.

Stock Transfer - Pre-Confirmation Step 2 of 3: Verify Details

**Please check the details of your stock transfer before confirming this transaction.**

Securities Account: XXXXXX2944

Transfer Type: Transfer In

Market: HK

Financial Institution Name: abc bank

CCASS Participant ID: A0000

Account Number: 12345678

Value Date: 06/21/2019

Stock Code	Stock Name	Quantity	Average Unit Cost
02800	TRACKER FUND	1,000	HKD 30.0000



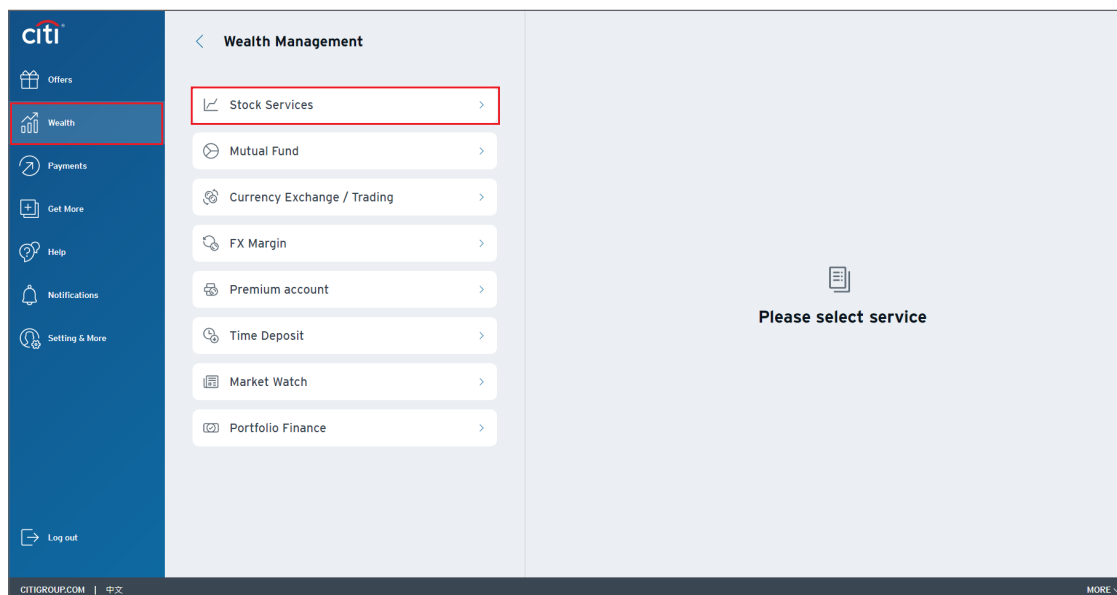
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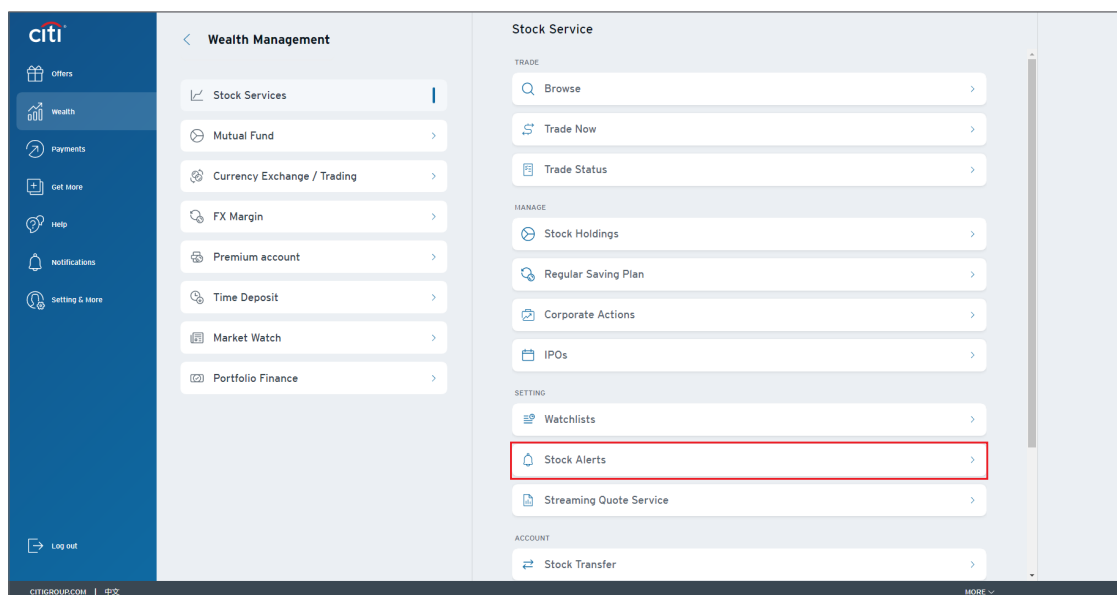
# Stock Alerts

Via Citibank Online

- 1 Click **"Stock Services"** under **"Wealth"**.



- 2 Go to **"Stock Alerts"** tab



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# Stock Alerts

Via Citibank Online

## 3 Click "Add New Alert"

**Stock Alerts**  
» Demo  
Securities Account: XXXXXX0506  
Market: HK

**Price Alerts**

Stock/ Index Code	Stock/ Index Name	Alert Criteria	Alert Channel	Good Till	Delete Alert
No records					

ADD NEW ALERT

**Order/ Trade Execution Alerts**

Stock Alerts	Enrolled ?
SMS alert at order level (Alert is sent when the order is fully filled or after market close on order expiry date)	Yes
SMS alert for each execution (SMS service fee is charged per each trade execution)	No
Email alert at order level	Yes
Email alert for each execution	No

MODIFY



## 4 Input details below and click "Confirm" to set up a new alert.

**Stock Alerts - Add New Alert**  
Securities Account: XXXXXX3960  
Market: HK  
Alert Trigger: Stock Price

**Alert Criteria**  
Stock Code: Enter Stock Code  
☐ Price Below  
☐ Price Above  
Good Till: 07/27/2018 (mm/dd/yyyy)  
Alert Channel: ☐ SMS, Mobile Number: XXXXXX1234  
☐ Email Address: chantalman@citi.com  
Language: English

» Cancel **CONFIRM**



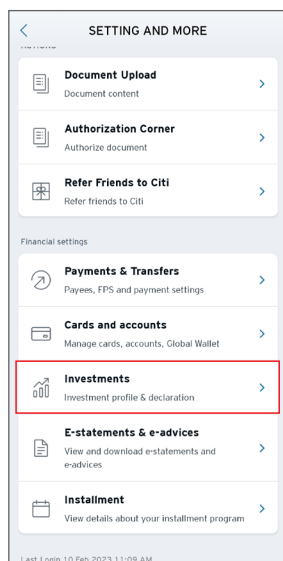
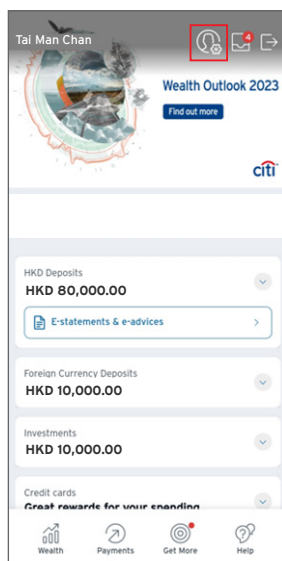
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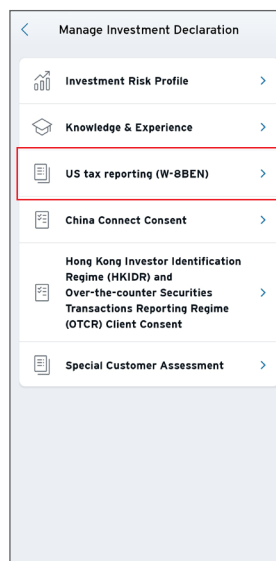
# Complete and Submit US Tax Form (Form W-8BEN)

Via Citi Mobile® App

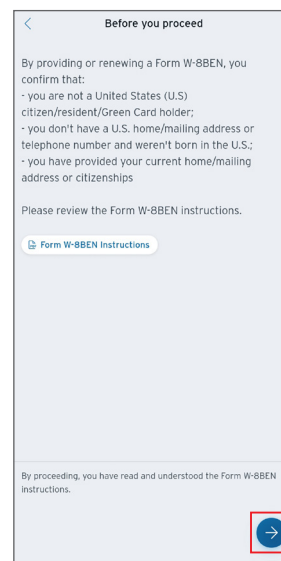
- 1 Login to Citi Mobile® App, tap **"SETTING AND MORE"** and then tap **"Investments"**



- 2 Tap **"US Tax Reporting (W-8BEN)"**



- 3 You are advised to read the **"Form W-8BEN Instructions"** before you proceed to the next step



- 4 Your details have already been pre-filled. Please review, and amend if necessary, to complete the process.

- 5 Review and confirm the details on the form, before you tap **"Submit"**

- 6 After submission, please capture the Reference ID for your records

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